A Full-wave Numerical Approach for Leaky Modes in EBG Structures

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Abstract— During the last decade, an extensive research effort has been aimed at studying and developing electromagnetic bandgap (EBG) structures [1]. EBGs are artificial periodic dielectric or metallic structures in which any electromagnetic wave propagation is forbidden within a fairly large frequency range. This frequency range is called the bandgap, which is analogous to the energy bandgaps for electrons in semiconductors [2]. A periodic array of infinitely long parallel cylinders is a typical kind of discrete periodic system. EBG structures composed of cylindrical inclusions, enclosed in a finite number of stacked layers, have inspired great interest because of their novel scientific and engineering application as narrow-band filters, guiding devices, and substrates/covers for antennas.

EBG waveguides can be designed by removing one or more rows of the rods thus allowing to guide the electromagnetic waves with relatively low losses along particular directions [1]. When the number of the layers of cylinders is decreased, the EBG structure loses its complete band-gap properties and the modal field of the waveguide leaks out from the guiding structure. The losses along the structure are then described by a complex wavenumber. Knowledge of the real and complex propagation wavenumbers of bound and leaky modes supported by 2D EBG waveguides is essential for understanding of the fundamental parameters governing the design of leaky-wave antennas and of a variety of microwave and optical guiding devices. Therefore, the effective and rigorous full-wave modal analysis of EBG waveguides composed by multilayered arrays of 2D cylindrical inclusions is of particular interest.

In this regard, a rigorous and efficient full-wave numerical approach devoted to the modal analysis of 2D EBG waveguides is presented [3]. The proposed technique allows for the numerical study of bound and leaky modes propagating in various types of periodic and EBG structures. The method adopted here is based on the transition matrix (*T*-matrix) method, the Lattice Sums (LSs) and the generalized reflection and transmission matrices characterizing the nature of the EBG structure. Recently developed fast and accurate calculation for the LSs, based on higher-order spectral and spatial Ewald representations that are highly convergent also in the case of complex propagation wavenumbers [3, 4], is used. The proposed approach has shown a Gaussian convergence and allows for the correct spectral determination of each spatial harmonic constituting the leaky modal field.

We have numerically tested the accuracy and efficiency of the method for several types of periodic and EBG structures, including conventional dielectric periodic and EBG waveguides as well as most challenging plasmonic chains, where the intrinsic losses of the scatterers are properly considered. An excellent agreement has been observed in all cases. Future developments concern the application of the proposed method to the analysis and design of EBG based leaky-wave antennas, where the radiative features can be explained in terms of suitable leaky modes propagating along the EBG waveguides [5]. Radiation patterns both in infinite and truncated EBG structures are under investigation.

REFERENCES

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- Yablonovitch, E., "Photonic band-gap structures," J. Opt. Soc. Am. B, Vol. 10, No. 2, 283–295, 1993.
- 3. Jandieri, V., P. Baccarelli, G. Valerio, and G. Schettini, "1-D Periodic lattice sums for complex and leaky waves in 2-D structures using higher-order ewald formulation," *IEEE Transactions* on Antennas and Propagation, (in press).

- 4. Baccarelli, P., V. Jandieri, G. Valerio, and G. Schettini, "Efficient computation of the lattice sums for leaky waves using the ewald method," *Proceedings of the 11-th European Conference on Antennas and Propagation*, 3233–3234, Paris, France, 2017.
- Baccarelli, P., S. Ceccuzzi, V. Jandieri, C. Ponti, and G. Schettini, "Recent advances on EBG cavity antennas," *Proceedings of XXII Italian National Meeting on Electromagnetics (RINEM)*, *Session: Antennas II*, 301–304, Cagliari, Italy, 2018.





Motivation (2)

> The complex wavenumbers are found by applying a rigorous and efficient formulation based on the Lattice Sums (LSs) technique combined with the Transition-matrix (T-matrix) approach and the recursive algorithm for the multilayered structure [1].

> The method is highly efficient, since the LSs are evaluated by using an effective Ewald approach [2] and a recursive relation for the layered structure is based on a simple matrix multiplication.

> The method allows for the appropriate choice of the spectral determination for each space harmonic in order to consider both proper and improper modal solutions [2].

> Radiative features of EBG Fabry-Perot cavities excited by simple localized sources (line or Hertzian dipole sources) at microwave and millimeter waves can be explained in terms of the leaky modes supported by the relevant open waveguide [3].

1. K. Yasumoto, H. Toyama and T. Kushta, *IEEE Transaction on Antennas and Propagation*, vol.52, pp.2603-2611, 2004. 2. V. Jandieri, P. Baccarelli, G. Valerio and G. Schettini, "1-D Periodic lattice sums for complex and leaky waves in 2-D structures using higher-order Ewald formulation," *IEEE Transaction on Antennas and Propagation*, vol. 67, no. 4, pp. 2364 - 2378, 2019.

3. S. Ceccuzzi, V. Jandieri, P. Baccarelli, C. Ponti and G. Schettini, JOSA A, vol.33, no.4, pp.764-770, 2016.









Lattice-Sum (LS)

$$L_m(kh, k_{x0}h) = \sum_{n=1}^{\infty} H_m^{(1)}(nkh) [e^{ik_{x0}hn} + (-1)^m e^{-ik_{x0}hn}]$$

 L_m depends only on k, h (i.e., the period), and k_{x0} (i.e., the fundamental space-harmonic wavenumber); It is independent of the polarization of the incident field. It uniquely characterizes a periodic array of sources.



[1] K. Yasumoto and K. Yoshitomo, IEEE TAP, vol.47, pp.1050-1055, 1999.

[2] C. M. Linton, "Lattice sums for the Helmholtz equation," SIAM Review, vol. 52, no. 4, pp. 630-674, 2010. [3] P. Baccarelli, V. Jandieri, G. Valerio and G. Schettini, "Efficient computation of the lattice sums for leaky waves using the Ewald method," Proceedings of the 11-th European Conference on Antennas and Propagation, Paris, France, pp. 3233-3234, March, 2017.

[4] V. Jandieri, P. Baccarelli, G. Valerio and G. Schettini, "1-D Periodic lattice sums for complex and leaky waves in 2-D structures using higher-order Ewald formulation," IEEE Transaction on Antennas and Propagation, vol. 67, no. 4, 2019.

LSs for the complex wavenumber can be accurately calculated using *Ewald method*. We calculate separately <u>spectral</u> and <u>spatial</u> series [3, 4]:

$$\boldsymbol{L}_{m} = \boldsymbol{L}_{m}^{E_{spectral}}\left(kh, k_{x0}h\right) + \boldsymbol{L}_{m}^{E_{spatial}}\left(kh, k_{x0}h\right)$$

Lattice-Sum Ewald Spectral Series

After several mathematical manipulations, for the **Spectral** series we finally obtain:

 $k_{vn} = \sqrt{k^2 - k_{xn}^2}$

$$L_{m}^{E_{spectral}}(kh, k_{x0}h) = \frac{2i^{m}}{h} \sum_{n=-\infty}^{\infty} \left(\frac{k_{xn}}{k}\right)^{m} \sum_{q=0}^{[m/2]} (-1)^{q} \binom{m}{2q} \binom{k_{yn}}{k_{xn}}^{2q} C_{q,n}, \quad m \ge 0$$

$$C_{q,n} = \frac{1}{k_{yn}} \operatorname{erfc}\left(-i\frac{hk_{yn}}{2E_{spl}}\right) - \frac{e^{\left(\frac{hk_{yn}}{2E_{spl}}\right)^{2}}}{k_{yn}} \sum_{s=1}^{q} \frac{\left(-i\frac{hk_{yn}}{2E_{spl}}\right)^{1-2s}}{\Gamma\left(\frac{3}{2}-s\right)},$$

$$\operatorname{erfc}(x) = \frac{2}{\sqrt{\pi}} \int_{x}^{+\infty} e^{-t^{2}} dt \qquad \text{The spectral higher-order Ewald series pressure of the spectral of the spectral higher-order end of the spectral higher of the spectral higher$$

sents a complex k_{x0}

The *proper* or *improper* features can be easily determined by imposing the appropriate square root determination for the vertical wavenumber k_{vn} .

Lattice-Sum Ewald Spatial Series (1)

For the **Spatial** series, we obtain:

$$\begin{split} L_{m}^{E_{spatial}}\left(kh, k_{x0}h\right) &= \delta_{m,0} \left[-1 - \frac{i}{\pi} \operatorname{Ei}\left(\frac{k^{2}h^{2}}{4E_{spl}^{2}}\right) \right] \\ &+ \frac{2^{m+1}}{i\pi} \sum_{n=1}^{\infty} \left[e^{ink_{x0}h} + (-1)^{m} e^{-ink_{x0}h} \right] \left(\frac{n}{kh}\right)^{m} \int_{E_{spl}}^{\infty} \frac{e^{-n^{2}\eta^{2} + \frac{k^{2}h^{2}}{4\eta^{2}}}}{\eta^{-2m+1}} d\eta, \ m \ge 0 \end{split}$$

How to compute this integral? A numerical integration could be slow and not robust...

$$I_m = \int_{E_{spl}}^{\infty} \frac{e^{-n^2 \eta^2 + \frac{k^2 h^2}{4\eta^2}}}{\eta^{-2m+1}} d\eta, \quad m \ge 0,$$

Lattice-Sum Ewald Spatial Series (2)

$$I_{m} = \int_{E_{upl}}^{\infty} \frac{e^{-n^{2}\eta^{2} + \frac{k^{2}h^{2}}{4\eta^{2}}}}{\eta^{-2m+1}} d\eta, \quad m \ge 0,$$

A recurrence relation in m have been obtained to <u>significantly speed up</u> the evaluation of the integrals in the spatial Ewald series

$$I_{m+1} = \frac{1}{2n^2} \left(2mI_m - \frac{k^2h^2}{2}I_{m-1} + E_{spl}^{2m}e^{-n^2E_{spl}^2}e^{k^2h^2/4E_{spl}^2} \right)$$

 I_0 and I_1 can be easily obtained as

$$I_{0} = \frac{1}{2} \sum_{p=0}^{\infty} \left(\frac{kh}{2E_{spl}} \right)^{2p} \frac{1}{p!} E_{p+1} \left(n^{2} E_{spl}^{2} \right) \qquad I_{1} = \frac{E_{spl}^{2}}{2} \left[\frac{1}{n^{2} E_{spl}^{2}} e^{-n^{2} E_{spl}^{2}} + \sum_{p=1}^{\infty} \left(\frac{kh}{2} \right)^{2p} \frac{1}{p!} \frac{1}{E_{spl}^{2p}} E_{p} \left(n^{2} E_{spl}^{2} \right) \right]$$

$$E_{q} \left(x \right) = \int_{1}^{+\infty} \frac{e^{-zt}}{t^{q}} dt \qquad \text{The spatial higher-order Ewald series also presents a very fast Gaussian convergence also for complex } k_{x0}.$$



Full-Wave Modal Analysis. Numerical Results

Fourier Series Expansion Method (FSEM) Combined with Perfectly Matched Layers (PMLs)

- For validation purposes, a Fourier Series Expansion method (FSEM) with perfectly matched layers (PMLs) has been implemented to analyze 2-D EBG waveguides composed by cylindrical inclusions, whose section can have an arbitrary geometry.
- The electric and magnetic fields are approximated by truncated Fourier series.
- The FSEM uses the staircase approximation of the circular section by applying several multilayered thin rectangular strips.
- A substantial number of numerical tests are required to properly choose the PML parameters in order to distinguish the leakage loss from the material loss caused by the assumed conductivity in the PMLs.



D. Zhang and H. Jia, "Numerical analysis of leaky modes in two-dimensional photonic crystal waveguides using Fourier series expansion method with perfectly matched layer," *IEICE Transactions on Electronics*, vol. E90-C, pp. 613-622, 2007.



W1 Type EBG Waveguide: Improper Leaky Mode (2)





Periodic Chain of Dielectric Circular Rods



Periodic Chain of *Dielectric* Circular Rods Complete Brillouin diagram with the details ε,*r* of the backward and forward fast-wave regions for the n = -1 harmonic Lowest order (proper/improper determinations), the closed $\varepsilon = 2.25\varepsilon_0$ TM leaky mode and open stop-band regions, and the grating r = 0.4167 h (H_z, E_x, E_y) lobe due to the simultaneous radiation from the n = -1 and n = -2 harmonics. **Brillouin Diagram** 2 n = -1 $k_0 h/\pi$ harmor (improper) n = -11.QOc harmonic 1.5 (proper) harmonic (proper) fast-wave fast-wave 1 region region closed stop band (backward) (forward) 0.5 slow-wave slow-way $\dot{n} = 0$ region region harmonic (proper) 0 -2 -1.5 -1 -0.5 0 0.5 0.01 0.02 0.03 0.04 1 0 $\beta h/\pi$ α/k_0 Backward leaky wave: phase and Forward leaky wave: phase and group group velocities of opposite signs

velocities are in the same direction

Periodic Chain of *Plasmonic* Circular Rods



Propagation constant $\beta h/2\pi$ and attenuation constant $\alpha h/2\pi$ versus the normalized frequency h/λ_0 for the fundamental and higher-order mode of *H*-wave for the 2-D periodic chain composed of the silver circular rods having radius r = 0.4167h. Solid line represent the results obtained based on the present method and the circles represent the results shown in Ref. [1].

[1] A. Hochman and Y. Leviatan, "Rigorous modal analysis of metallic nanowire chains," Optics Express, **17**, 13561-13575 (2009).





Total Field excited by an electric line source vs. Leaky-Wave field

LWAs based on Truncated EBG Structures: Radiation at Broadside



LWAs based on Truncated EBG Structures: 3dB Bandwidth at Broadside

180

CWA vs CST

-30 -75 -60 -45 -30

0 15 30 45 θ(°)

60 75

-15

LWRP vs CWA







Stacked

 $\begin{array}{c} (E_z, \tilde{H}_z) \\ \mathbf{R}_{\mathbf{x}}^{(+)}, \mathbf{F}_{\mathbf{x}}^{(-)}, \mathbf{R}_{\mathbf{x}}^{(-)}, \mathbf{F}_{\mathbf{x}}^{(+)} \end{array}$

Crossed-array:





Layered crossed-arrays

Woodpile LWAs: Extension of the modal and radiative analyses to 3-D EBG structures (3)



Conclusions

- A full-wave numerical approach for the analysis of modes with complex propagation wavenumber in periodic and bandgap structures composed of 2D cylindrical inclusions has been proposed.
- The method is based on the lattice sums (LSs) technique and has been suitably adapted to the analysis of modes with complex propagation wavenumbers, by applying higher-order Ewald representation, in terms of spectral and spatial series having Gaussian convergence.
- All the possible bound and leaky modes propagating along periodic and bandgap structures composed of 2D cylindrical inclusions can be considered.
- An exhaustive analysis of two reference 2D EBG waveguides has allowed us to characterize the relevant Pass-Band and Band-Gap Zones and the Radiative Regions.

Future works:

- Analysis of leakage and radiative phenomena in 2D EBG structures
- · Design of filters and periodic Leaky Wave Antennas based on EBG structures

