

# Real wave propagation in the isotropic relaxed micromorphic model

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## Abstract

For the recently introduced isotropic relaxed micromorphic generalized continuum model, we show that under the assumption of positive definite energy, planar harmonic waves have real velocity. We also obtain a necessary and sufficient condition for real wave velocity which is weaker than positive-definiteness of the energy. Connections to isotropic linear elasticity and micropolar elasticity are established. Notably, we show that strong ellipticity does not imply real wave velocity in micropolar elasticity, while it does in isotropic linear elasticity.

**Keywords:** ellipticity, positive-definiteness, real wave velocity, planar harmonic waves, rank-one convexity, acoustic tensor, generalized continuum, micropolar, Cosserat, micromorphic

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## 1 Introduction

Investigations of real wave propagation and ellipticity are not new in principle. Indeed, it is textbook knowledge for linear elasticity that positive definiteness of the elastic energy implies real wave velocities (phase velocities)  $v = \omega/k$  where  $\omega$  [Hz] is the angular frequency and  $k[1/m] \in \mathbb{R}$  is the wavenumber of planar propagating waves. In classical elasticity, having real wave velocities is equivalent to rank-one convexity (strong ellipticity or Legendre-Hadamard ellipticity). Moreover, ellipticity is equivalent to the positive definiteness of the acoustic tensor. For anisotropic linear elasticity we mention [7], while for anisotropic nonlinear elasticity we refer the reader to [3, 22, 39, 40].

The same question of ellipticity and real wave velocities in generalized continuum mechanics has been discussed for micropolar models, e.g. in [41] and for elastic materials with voids in [8]. For the isotropic micromorphic model results can be found with respect to positive definite energy and/or real wave velocity [37, 42], Mindlin [23, 24] and Eringen's book [11, pp. 277-280]. These latter results present conditions which are neither easily verifiable nor are truly transparent. This is due to a certain lack of mathematical structure of the classical micromorphic model. Indeed, the implication that positive definiteness of the energy always

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implies real wave velocities is not directly established and demonstrated. In this paper we investigate the relaxed micromorphic model in terms of conditions for real wave velocities for planar waves and establish a necessary and sufficient conditions for this to happen.

This paper is organized as follows. We shortly recall the basics of the relaxed micromorphic model and discuss the wave propagation problem for propagating planar waves. Since we deal with an isotropic model, we can, without loss of generality, assume wave propagation in one specific direction only. The dispersion relations are then obtained and real wave-velocities under assumption of uniform-positiveness of the elastic energy are established.

We next present a set of necessary and sufficient conditions for real wave-velocities in the relaxed micromorphic model which is weaker than positivity of the energy, as the strong ellipticity condition is with respect to positive definiteness of the energy in the case of linear elasticity. Then, for didactic purposes, we repeat the analysis for isotropic linear elasticity in order to see relations of our necessary and sufficient condition to the strong ellipticity condition in linear elasticity. Similarly, we discuss micropolar elasticity and establish necessary and sufficient conditions for real wave propagation. We finally show that strong ellipticity in micropolar and micromorphic models is **not** sufficient for having real wave velocities, when dealing with plane waves.

## 2 The relaxed micromorphic model

The relaxed micromorphic model has been recently introduced into continuum mechanics in [31]. In subsequent works [18–21], the model has shown its wider applicability compared to the classical Mindlin-Eringen micromorphic model in diverse areas [1, 11, 15, 23, 24].

The dynamic relaxed micromorphic model counts only 8 constitutive parameters in the (simplified) isotropic case  $(\mu_e, \lambda_e, \mu_{\text{micro}}, \lambda_{\text{micro}}, \mu_c, L_c, \rho, \eta)$ . The simplification consists in assuming one scalar micro-inertia parameter  $\eta$  and a uni-constant curvature expression. The characteristic length  $L_c$  is intrinsically related to non-local effects due to the fact that it weights a suitable combination of first order space derivatives of the microdistortion tensor in the strain energy density (1). For a general presentation of the features of the relaxed micromorphic model in the anisotropic setting, we refer to [4].

### 2.1 Elastic energy density

The relaxed micromorphic model couples the macroscopic displacement  $u \in \mathbb{R}^3$  and an affine substructure deformation attached at each macroscopic point encoded by the **micro-distortion** field  $P \in \mathbb{R}^{3 \times 3}$ . Our novel relaxed micromorphic model endows Mindlin-Eringen's representation of linear micromorphic models with the second order **dislocation density tensor**  $\alpha = -\text{Curl}P$  instead of the full gradient  $\nabla P$ .<sup>7</sup> In the isotropic hyperelastic case the elastic energy reads

$$\begin{aligned}
W &= \mu_e \|\text{sym}(\nabla u - P)\|^2 + \frac{\lambda_e}{2} (\text{tr}(\nabla u - P))^2 + \mu_c \|\text{skew}(\nabla u - P)\|^2 \\
&\quad + \mu_{\text{micro}} \|\text{sym}P\|^2 + \frac{\lambda_{\text{micro}}}{2} (\text{tr}P)^2 + \frac{\mu_e L_c^2}{2} \|\text{Curl}P\|^2 \\
&= \underbrace{\mu_e \|\text{dev sym}(\nabla u - P)\|^2 + \frac{2\mu_e + 3\lambda_e}{3} (\text{tr}(\nabla u - P))^2}_{\text{isotropic elastic - energy}} + \underbrace{\mu_c \|\text{skew}(\nabla u - P)\|^2}_{\text{rotational elastic coupling}} \\
&\quad + \underbrace{\mu_{\text{micro}} \|\text{dev sym}P\|^2 + \frac{2\mu_{\text{micro}} + 3\lambda_{\text{micro}}}{3} (\text{tr}P)^2}_{\text{micro - self - energy}} + \underbrace{\frac{\mu_e L_c^2}{2} \|\text{Curl}P\|^2}_{\text{simplified isotropic curvature}},
\end{aligned} \tag{1}$$

where the parameters and the elastic stress are analogous to the standard Mindlin-Eringen micromorphic model. The model is well-posed in the statical and dynamical case even for zero Cosserat couple modulus  $\mu_c = 0$ , see [13, 30]. In that case, it is non-redundant in the sense of [38]. Well-posedness results for the

<sup>7</sup>The dislocation tensor is defined as  $\alpha_{ij} = -(\text{Curl}P)_{ij} = -P_{ih,k}\epsilon_{jkh}$ , where  $\epsilon$  is the Levi-Civita tensor.

statical and dynamical cases have been provided in [31] making decisive use of recently established new coercive inequalities, generalizing Korn's inequality to incompatible tensor fields [5, 6, 34–36].

Strict positive definiteness of the potential energy is equivalent to the following simple relations for the introduced parameters [31]:

$$\mu_e > 0, \quad \mu_c > 0, \quad 2\mu_e + 3\lambda_e > 0, \quad \mu_{\text{micro}} > 0, \quad 2\mu_{\text{micro}} + 3\lambda_{\text{micro}} > 0, \quad L_c > 0. \quad (2)$$

As for the kinetic energy, we consider that it takes the following (simplified) form

$$J = \frac{\rho}{2} \|u_{,t}\|^2 + \underbrace{\frac{\eta}{2} \|P_{,t}\|^2}_{\text{simplified micro - inertia}}, \quad (3)$$

where  $\rho > 0$  is the value of the averaged macroscopic mass density of the considered material, while  $\eta > 0$  is its micro-inertia density.

For very large sample sizes, a scaling argument shows easily that the relative characteristic length scale  $L_c$  of the micromorphic model must vanish. Therefore, we have a way of comparing a classical first gradient formulation with the relaxed micromorphic model and to offer an a priori relation between the microscopic parameters  $\lambda_e, \lambda_{\text{micro}}, \mu_e, \mu_{\text{micro}}$  on the one side and the resulting macroscopic parameters  $\lambda_{\text{macro}}, \mu_{\text{macro}}$  on the other side [4, 26, 29]. We have

$$(2\mu_{\text{macro}} + 3\lambda_{\text{macro}}) = \frac{(2\mu_e + 3\lambda_e)(2\mu_{\text{micro}} + 3\lambda_{\text{micro}})}{(2\mu_e + 3\lambda_e) + (2\mu_{\text{micro}} + 3\lambda_{\text{micro}})}, \quad \mu_{\text{macro}} = \frac{\mu_e \mu_{\text{micro}}}{\mu_e + \mu_{\text{micro}}}, \quad (4)$$

where  $\mu_{\text{macro}}, \lambda_{\text{macro}}$  are the moduli obtained for  $L_c \rightarrow 0$ .

For future use we define the elastic bulk modulus  $\kappa_e$ , the microscopic bulk modulus  $\kappa_{\text{micro}}$  and the macroscopic bulk modulus  $\kappa_{\text{macro}}$ , respectively:

$$\kappa_e = \frac{2\mu_e + 3\lambda_e}{3}, \quad \kappa_{\text{micro}} = \frac{2\mu_{\text{micro}} + 3\lambda_{\text{micro}}}{3}, \quad \kappa_{\text{macro}} = \frac{2\mu_{\text{macro}} + 3\lambda_{\text{macro}}}{3}. \quad (5)$$

In terms of these moduli, strict positive-definiteness of the energy is equivalent to:

$$\mu_e > 0, \quad \mu_c > 0, \quad \kappa_e > 0, \quad \mu_{\text{micro}} > 0, \quad \kappa_{\text{micro}} > 0, \quad L_c > 0. \quad (6)$$

If strict positive-definiteness (6) holds we can write the macroscopic consistency conditions as:

$$\kappa_{\text{macro}} = \frac{\kappa_e \kappa_{\text{micro}}}{\kappa_e + \kappa_{\text{micro}}}, \quad \mu_{\text{macro}} = \frac{\mu_e \mu_{\text{micro}}}{\mu_e + \mu_{\text{micro}}}, \quad (7)$$

and, again under condition (6)

$$\kappa_e = \frac{\kappa_{\text{micro}} \kappa_{\text{macro}}}{\kappa_{\text{micro}} - \kappa_{\text{macro}}}, \quad \kappa_{\text{micro}} = \frac{\kappa_e \kappa_{\text{macro}}}{\kappa_e - \kappa_{\text{macro}}}, \quad \mu_e = \frac{\mu_{\text{micro}} \mu_{\text{macro}}}{\mu_{\text{micro}} - \mu_{\text{macro}}}, \quad \mu_{\text{micro}} = \frac{\mu_e \mu_{\text{macro}}}{\mu_e - \mu_{\text{macro}}}. \quad (8)$$

Here, strict positivity (6) implies that:

$$\begin{aligned} \kappa_e + \kappa_{\text{micro}} &> 0, & \mu_e + \mu_{\text{micro}} &> 0, & \kappa_e &> \kappa_{\text{macro}}, & \kappa_{\text{micro}} &> \kappa_{\text{macro}}, & \mu_e &> \mu_{\text{macro}}, \\ \mu_{\text{micro}} &> \mu_{\text{macro}}. \end{aligned} \quad (9)$$

Since it is useful in what follows we explicitly remark that:

$$2\mu_e + \lambda_e = \frac{4}{3}\mu_e + \frac{2\mu_e + 3\lambda_e}{3} = \frac{4}{3}\mu_e + \kappa_e = \frac{4\mu_e + 3\kappa_e}{3}, \quad 2\mu_{\text{micro}} + \lambda_{\text{micro}} = \frac{4\mu_{\text{micro}} + 3\kappa_{\text{micro}}}{3}. \quad (10)$$

With these relationship, it is easy to show how  $\mu_e > 0$  and  $\kappa_e > 0$  imply  $2\mu_e + \lambda_e > 0$ . Moreover, as shown in the appendix (equations (91) and (92)), we note here that if only  $\mu_e + \mu_{\text{micro}} > 0$  and  $\kappa_e + \kappa_{\text{micro}} > 0$ , then the macroscopic parameters are less or equal than respective microscopic parameters, namely:

$$\kappa_e \geq \kappa_{\text{macro}}, \quad \kappa_{\text{micro}} \geq \kappa_{\text{macro}} \quad \mu_e \geq \mu_{\text{macro}}, \quad \mu_{\text{micro}} \geq \mu_{\text{macro}}, \quad (11)$$

and moreover the following inequalities are satisfied:

$$2\mu_e + \lambda_e \geq 2\mu_{\text{macro}} + \lambda_{\text{macro}}, \quad 2\mu_{\text{micro}} + \lambda_{\text{micro}} \geq 2\mu_{\text{macro}} + \lambda_{\text{macro}}, \quad \frac{4\mu_{\text{macro}} + 3\kappa_e}{3} \geq 2\mu_{\text{macro}} + \lambda_{\text{macro}}. \quad (12)$$

Note that the Cosserat couple modulus  $\mu_c$  [27] does not appear in the introduced scale between micro and macro.

## 2.2 Dynamic formulation

The dynamical formulation is obtained defining a joint Hamiltonian and assuming stationary action. The dynamical equilibrium equations are:

$$\begin{aligned} \rho u_{,tt} &= \text{Div} [2\mu_e \text{sym} (\nabla u - P) + 2\mu_c \text{skew} (\nabla u - P) + \lambda_e \text{tr} (\nabla u - P) \mathbf{1}], \\ \eta P_{,tt} &= -\mu_e L_c^2 \text{Curl Curl } P + 2\mu_e \text{sym} (\nabla u - P) + 2\mu_c \text{skew} (\nabla u - P) \\ &\quad + \lambda_e \text{tr} (\nabla u - P) \mathbf{1} - [2\mu_{\text{micro}} \text{sym} P + \lambda_{\text{micro}} \text{tr} (P) \mathbf{1}]. \end{aligned} \quad (13)$$

Sufficiently far from a source, dynamic wave solutions may be treated as planar waves. Therefore, we now want to study harmonic solutions traveling in an infinite domain for the differential system (13). To do so, we define:

$$\begin{aligned} P^S &:= \frac{1}{3} \text{tr} (P), & P_{[ij]} &:= (\text{skew} P)_{ij} = \frac{1}{2} (P_{ij} - P_{ji}), \\ P^D &:= P_{11} - P^S, & P_{(ij)} &:= (\text{sym} P)_{ij} = \frac{1}{2} (P_{ij} + P_{ji}), \\ P^V &:= P_{22} - P_{33} \end{aligned} \quad (14)$$

and we introduce the unknown vectors

$$\mathbf{v}_1 = (u_1, P^D, P^S) \quad \mathbf{v}_\tau = (u_\tau, P_{(1\tau)}, P_{[1\tau]}), \quad \tau = 2, 3, \quad \mathbf{v}_4 = (P_{(23)}, P_{[23]}, P^V). \quad (15)$$

We suppose that the space dependence of all introduced kinematic fields are limited to a direction defined by a unit vector  $\tilde{\xi} \in \mathbb{R}^3$ , which is the direction of propagation of the wave. Hence, we look for solutions of (13) in the form:

$$\underbrace{\mathbf{v}_1 = \boldsymbol{\beta} e^{i(k\langle \tilde{\xi}, x \rangle_{\mathbb{R}^3} - \omega t)}}_{\text{longitudinal}}, \quad \underbrace{\mathbf{v}_\tau = \boldsymbol{\gamma}^\tau e^{i(k\langle \tilde{\xi}, x \rangle_{\mathbb{R}^3} - \omega t)}}_{\text{transversal}}, \quad \tau = 2, 3, \quad \underbrace{\mathbf{v}_4 = \boldsymbol{\gamma}^4 e^{i(k\langle \tilde{\xi}, x \rangle_{\mathbb{R}^3} - \omega t)}}_{\text{uncoupled}}. \quad (16)$$

Since our formulation is isotropic, we can, without loss of generality, specify the direction  $\tilde{\xi} = e_1$ . Then  $X = \langle e_1, x \rangle = x_1$ , and we obtain that the space dependence of all introduced kinematic fields are limited to the component  $X$  which is the direction of propagation of the wave<sup>8</sup>. This means that we look for solutions in the form:

$$\underbrace{\mathbf{v}_1 = \boldsymbol{\beta} e^{i(kX - \omega t)}}_{\text{longitudinal}}, \quad \underbrace{\mathbf{v}_\tau = \boldsymbol{\gamma}^\tau e^{i(kX - \omega t)}}_{\text{transversal}}, \quad \tau = 2, 3, \quad \underbrace{\mathbf{v}_4 = \boldsymbol{\gamma}^4 e^{i(kX - \omega t)}}_{\text{uncoupled}}, \quad (17)$$

where  $\boldsymbol{\beta} = (\beta_1, \beta_2, \beta_3)^T \in \mathbb{C}^3$ ,  $\boldsymbol{\gamma}^\tau = (\gamma_1^\tau, \gamma_2^\tau, \gamma_3^\tau)^T \in \mathbb{C}^3$  and  $\boldsymbol{\gamma}^4 = (\gamma_1^4, \gamma_2^4, \gamma_3^4)^T \in \mathbb{C}^3$  are the unknown amplitudes of the considered waves<sup>9</sup>,  $k$  is the wavenumber and  $\omega$  is the wave-frequency. Replacing these expressions in equations (13), it is possible to express the system (see [19, 20]) as:

$$\mathbf{A}_1 \cdot \boldsymbol{\beta} = 0, \quad \mathbf{A}_\tau \cdot \boldsymbol{\gamma}^\tau = 0, \quad \tau = 2, 3, \quad \mathbf{A}_4 \cdot \boldsymbol{\gamma}^4 = 0, \quad (18)$$

<sup>8</sup>In an isotropic model it is clear that there is no direction dependence. More specifically, let us consider an arbitrary direction  $\tilde{\xi} \in \mathbb{R}^3$ . Now we consider an orthogonal spatial coordinate change  $Q e_1 = \tilde{\xi}$  with  $Q \in \text{SO}(3)$ . In the rotated variables, the ensuing system of pde's (13) is form-invariant, see [25].

<sup>9</sup>Here, we understand that having found the (in general, complex) solutions of (17) only the real or imaginary parts separately constitute actual wave solutions which can be observed in reality.

with

$$\mathbf{A}_1(\omega, k) = \begin{pmatrix} -\omega^2 + c_p^2 k^2 & i k 2\mu_e/\rho & i k (2\mu_e + 3\lambda_e)/\rho \\ -i k \frac{4}{3} \mu_e/\eta & -\omega^2 + \frac{1}{3}k^2 c_m^2 + \omega_s^2 & -\frac{2}{3} k^2 c_m^2 \\ -\frac{1}{3} i k (2\mu_e + 3\lambda_e)/\eta & -\frac{1}{3} k^2 c_m^2 & -\omega^2 + \frac{2}{3} k^2 c_m^2 + \omega_p^2 \end{pmatrix}, \quad (19)$$

$$\mathbf{A}_2(\omega, k) = \mathbf{A}_3(\omega, k) = \begin{pmatrix} -\omega^2 + k^2 c_s^2 & i k 2\mu_e/\rho & -i k \frac{\eta}{\rho} \omega_r^2, \\ -i k \mu_e/\eta, & -\omega^2 + \frac{c_m^2}{2} k^2 + \omega_s^2 & \frac{c_m^2}{2} k^2 \\ \frac{i}{2} \omega_r^2 k & \frac{c_m^2}{2} k^2 & -\omega^2 + \frac{c_m^2}{2} k^2 + \omega_r^2 \end{pmatrix}, \quad (20)$$

$$\mathbf{A}_4(\omega, k) = \begin{pmatrix} -\omega^2 + c_m^2 k^2 + \omega_s^2 & 0 & 0 \\ 0 & -\omega^2 + c_m^2 k^2 + \omega_r^2 & 0 \\ 0 & 0 & -\omega^2 + c_m^2 k^2 + \omega_s^2 \end{pmatrix}. \quad (21)$$

Here, we have defined:

$$\begin{array}{lll} c_m = \sqrt{\frac{\mu_e L_c^2}{\eta}}, & c_s = \sqrt{\frac{\mu_e + \mu_c}{\rho}}, & c_p = \sqrt{\frac{2\mu_e + \lambda_e}{\rho}}, \\ \omega_s = \sqrt{\frac{2(\mu_e + \mu_{\text{micro}})}{\eta}}, & \omega_p = \sqrt{\frac{(2\mu_e + 3\lambda_e) + (2\mu_{\text{micro}} + 3\lambda_{\text{micro}})}{\eta}}, & \omega_r = \sqrt{\frac{2\mu_c}{\eta}}, \\ \omega_l = \sqrt{\frac{2\mu_{\text{micro}} + \lambda_{\text{micro}}}{\eta}}, & \omega_t = \sqrt{\frac{\mu_{\text{micro}}}{\eta}}. & \end{array}$$

Let us next define the diagonal matrix:

$$\text{diag}_1 = \begin{pmatrix} \sqrt{\rho} & 0 & 0 \\ 0 & i\frac{\sqrt{6}\eta}{2} & 0 \\ 0 & 0 & i\sqrt{3}\eta \end{pmatrix}. \quad (22)$$

Considering  $\gamma = \text{diag}_1 \cdot \beta$  and the matrix  $\overline{\mathbf{A}}_1(\omega, k) = \text{diag}_1 \cdot \mathbf{A}_1(\omega, k) \cdot \text{diag}_1^{-1}$ , it is possible to formulate the problem (18) equivalently as<sup>10</sup>:

$$\overline{\mathbf{A}}_1 \cdot \gamma = \begin{pmatrix} -\omega^2 + c_p^2 k^2 & \frac{2\sqrt{6}}{3} k \mu_e/\sqrt{\rho\eta} & \frac{\sqrt{3}}{3} k (2\mu_e + 3\lambda_e)/\sqrt{\rho\eta} \\ \frac{2\sqrt{6}}{3} k \mu_e/\sqrt{\rho\eta} & -\omega^2 + \frac{1}{3}k^2 c_m^2 + \omega_s^2 & -\frac{\sqrt{2}}{3} k^2 c_m^2 \\ \frac{\sqrt{3}}{3} k (2\mu_e + 3\lambda_e)/\sqrt{\rho\eta} & -\frac{\sqrt{2}}{3} k^2 c_m^2 & -\omega^2 + \frac{2}{3} k^2 c_m^2 + \omega_p^2 \end{pmatrix} \begin{pmatrix} \gamma_1 \\ \gamma_2 \\ \gamma_3 \end{pmatrix} = 0. \quad (23)$$

<sup>10</sup>It is possible to face the problem in two more equivalent ways. The first one is to consider from the start that the amplitudes of the micro-distortion field are multiplied by the imaginary unit  $i$ , i.e.  $\beta = (\beta_1, i\beta_2, i\beta_3)^T \in \mathbb{C}^3$ , as done in [23, p. 24, eq. 8.6].

Doing so, we obtaining a real matrix that can be symmetrized with  $\text{diag}_1 = \begin{pmatrix} \sqrt{\rho} & 0 & 0 \\ 0 & \frac{\sqrt{6}\eta}{2} & 0 \\ 0 & 0 & \sqrt{3}\eta \end{pmatrix}$ . On the other hand, it is also possible to consider from the beginning  $\beta = (\sqrt{\rho}\beta_1, i\frac{\sqrt{6}\eta}{2}\beta_2, i\sqrt{3}\eta\beta_3)^T \in \mathbb{C}^3$  obtaining directly a real symmetric matrix.

Analogously considering

$$\text{diag}_2 = \begin{pmatrix} \sqrt{\rho} & 0 & 0 \\ 0 & i\sqrt{2\eta} & 0 \\ 0 & 0 & i\sqrt{2\eta} \end{pmatrix}, \quad (24)$$

it is possible to obtain  $\bar{\mathbf{A}}_2(\omega, k) = \bar{\mathbf{A}}_3(\omega, k) = \text{diag}_2 \cdot \mathbf{A}_2(\omega, k) \cdot \text{diag}_2^{-1}$

$$\bar{\mathbf{A}}_2(\omega, k) = \bar{\mathbf{A}}_3(\omega, k) = \begin{pmatrix} -\omega^2 + k^2 c_s^2 & k\sqrt{2}\mu_e/\sqrt{\rho\eta} & -k\sqrt{2}\mu_c/\sqrt{\rho\eta}, \\ k\sqrt{2}\mu_e/\sqrt{\rho\eta}, & -\omega^2 + \frac{c_m^2}{2}k^2 + \omega_s^2 & \frac{c_m^2}{2}k^2 \\ -k\sqrt{2}\mu_c/\sqrt{\rho\eta} & \frac{c_m^2}{2}k^2 & -\omega^2 + \frac{c_m^2}{2}k^2 + \omega_r^2 \end{pmatrix}. \quad (25)$$

In order to have non-trivial solutions of the algebraic systems (18), one must impose that

$$\det \bar{\mathbf{A}}_1(\omega, k) = 0, \quad \det \bar{\mathbf{A}}_2(\omega, k) = \det \bar{\mathbf{A}}_3(\omega, k) = 0, \quad \det \mathbf{A}_4(\omega, k) = 0, \quad (26)$$

the solution of which allow us to determine the so-called dispersion relations  $\omega = \omega(k)$  for the longitudinal and transverse waves in the relaxed micromorphic continuum, see Figure 1<sup>11</sup>.

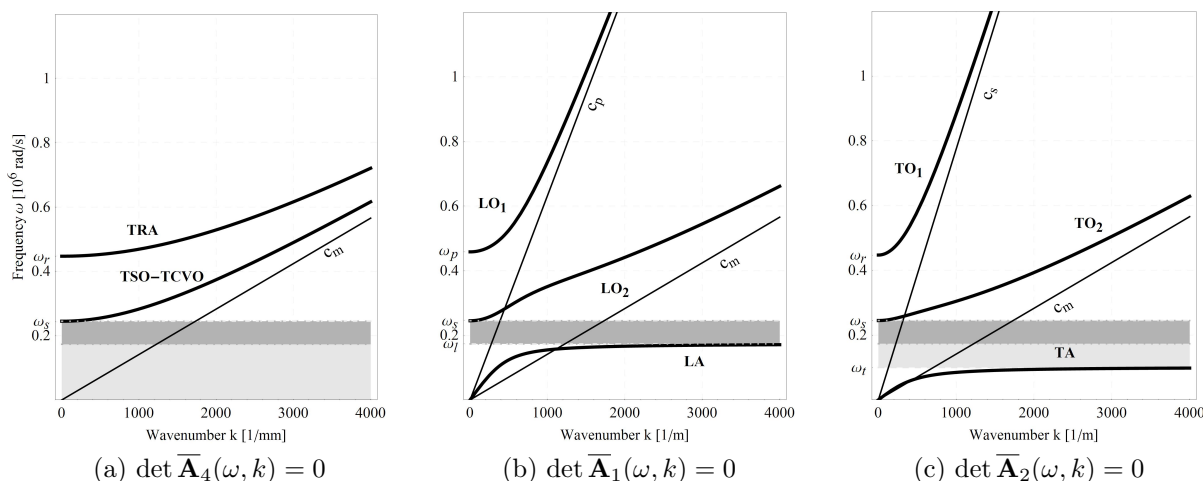


Figure 1: Dispersion relations  $\omega = \omega(k)$  [18] for the **relaxed micromorphic model** with non-vanishing Cosserat couple modulus  $\mu_c > 0$ . Uncoupled waves (a), longitudinal waves (b) and transverse waves (c). TRO: transverse rotational optic, TSO: transverse shear optic, TCVO: transverse constant-volume optic, LA: longitudinal acoustic, LO<sub>1</sub>-LO<sub>2</sub>: 1<sup>st</sup> and 2<sup>nd</sup> longitudinal optic, TA: transverse acoustic, TO<sub>1</sub>-TO<sub>2</sub>: 1<sup>st</sup> and 2<sup>nd</sup> transverse optic.

For solutions  $\omega = \omega(k)$  of (26) we define the

$$\text{phase velocity: } v = \frac{\omega}{k}, \quad \text{group velocity: } \frac{d\omega(k)}{dk}. \quad (27)$$

Real wave numbers  $k \in \mathbb{R}$  correspond to propagating waves, while complex values of  $k$  are associated with waves whose amplitude either grows or decays along the coordinate  $X$ . In linear elasticity, phase velocity and group velocity coincide since there is no dispersion and both are real, see section 3.

Since in this paper we are only interested in real  $k$ , the wave velocity (phase velocity) is real if and only if  $\omega$  is real.

<sup>11</sup>The formal limit  $\eta \rightarrow +\infty$  shows no dispersion at all giving two pseudo-acoustic linear curves, longitudinal and transverse with slopes  $c_p = \sqrt{(2\mu_e + \lambda_e)/\rho}$  and  $c_s = \sqrt{(\mu_e + \mu_c)/\rho}$ , respectively.

Since  $\omega^2$  appears on the diagonal only, the problem (26) can be analogously expressed as an eigenvalue-problem:

$$\begin{aligned} \det(\mathbf{B}_1(k) - \omega^2 \mathbf{1}) &= 0, & \det(\mathbf{B}_2(k) - \omega^2 \mathbf{1}) &= 0, \\ \det(\mathbf{B}_3(k) - \omega^2 \mathbf{1}) &= 0, & \det(\mathbf{B}_4(k) - \omega^2 \mathbf{1}) &= 0, \end{aligned} \quad (28)$$

where

$$\mathbf{B}_1(k) = \begin{pmatrix} c_p^2 k^2 & \frac{2\sqrt{6}}{3} k \mu_e / \sqrt{\rho\eta} & \frac{\sqrt{3}}{3} k (2\mu_e + 3\lambda_e) / \sqrt{\rho\eta} \\ \frac{2\sqrt{6}}{3} k \mu_e / \sqrt{\rho\eta} & \frac{1}{3} k^2 c_m^2 + \omega_s^2 & -\frac{\sqrt{2}}{3} k^2 c_m^2 \\ \frac{\sqrt{3}}{3} k (2\mu_e + 3\lambda_e) / \sqrt{\rho\eta} & -\frac{\sqrt{2}}{3} k^2 c_m^2 & +\frac{2}{3} k^2 c_m^2 + \omega_p^2 \end{pmatrix}, \quad (29)$$

$$\mathbf{B}_2(k) = \mathbf{B}_3(k) = \begin{pmatrix} k^2 c_s^2 & k \sqrt{2} \mu_e / \sqrt{\rho\eta} & -k \sqrt{2} \mu_c / \sqrt{\rho\eta}, \\ k \sqrt{2} \mu_e / \sqrt{\rho\eta}, & \frac{c_m^2}{2} k^2 + \omega_s^2 & \frac{c_m^2}{2} k^2 \\ -k \sqrt{2} \mu_c / \sqrt{\rho\eta} & \frac{c_m^2}{2} k^2 & \frac{c_m^2}{2} k^2 + \omega_r^2 \end{pmatrix}, \quad (30)$$

$$\mathbf{B}_4(k) = \begin{pmatrix} c_m^2 k^2 + \omega_s^2 & 0 & 0 \\ 0 & c_m^2 k^2 + \omega_r^2 & 0 \\ 0 & 0 & c_m^2 k^2 + \omega_s^2 \end{pmatrix}. \quad (31)$$

Note that  $\mathbf{B}_1(k)$ ,  $\mathbf{B}_2(k)$ ,  $\mathbf{B}_3(k)$  and  $\mathbf{B}_4(k)$  are real symmetric matrices and therefore the resulting eigenvalues  $\omega^2$  are real. Obtaining real wave velocities is tantamount to having  $\omega^2 \geq 0$  for all solutions of (28).

### 2.3 Necessary and sufficient conditions for real wave propagation

We will show next that all the eigenvalues  $\omega^2$  of  $\mathbf{B}_1(k)$ ,  $\mathbf{B}_2(k)$  and  $\mathbf{B}_3(k)$  are real and positive for every  $k \neq 0$  and non-negative for  $k = 0$  provided certain conditions on the material coefficients are satisfied. Sylvester's criterion states that a Hermitian matrix  $M$  is positive-definite if and only if the leading principal minors are positive [14]. For the matrix  $\mathbf{B}_1$  the three principal minors are:

$$(\mathbf{B}_1)_{11} = \frac{2\mu_e + \lambda_e}{\rho}, \quad (32)$$

$$(\text{Cof } \mathbf{B}_1)_{33} = \frac{k^2}{3\eta\rho} [6(2\mu_e + \lambda_e)\mu_{\text{micro}} + 6\mu_e \kappa_e + (2\mu_e + \lambda_e)\mu_e L_c^2 k^2] \quad (33)$$

$$= \frac{k^2}{3\eta\rho} [2(4\mu_{\text{macro}} + 3\kappa_e)(\mu_e + \mu_{\text{micro}}) + (2\mu_e + \lambda_e)\mu_e L_c^2 k^2],$$

$$\det(\mathbf{B}_1) = \frac{k^2}{\eta^2\rho} [6\kappa_e \kappa_{\text{micro}} (\mu_e + \mu_{\text{micro}}) + 8\mu_e \mu_{\text{micro}} (\kappa_e + \kappa_{\text{micro}}) + (2\mu_e + \lambda_e)(2\mu_{\text{micro}} + \lambda_{\text{micro}})\mu_e L_c^2 k^2] \quad (34)$$

$$= \frac{k^2}{\eta^2\rho} [6(\kappa_e + \kappa_{\text{micro}})(\mu_e + \mu_{\text{micro}})(2\mu_{\text{macro}} + \lambda_{\text{macro}}) + (2\mu_e + \lambda_e)(2\mu_{\text{micro}} + \lambda_{\text{micro}})\mu_e L_c^2 k^2].$$

The three principal minors of  $\mathbf{B}_1$  are clearly positive for  $k \neq 0$  if<sup>12</sup>:

$$\begin{aligned} \mu_e > 0, & \quad \mu_{\text{micro}} > 0, & \quad \kappa_e + \kappa_{\text{micro}} > 0, & \quad 2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0, \\ 4\mu_{\text{macro}} + 3\kappa_e > 0, & \quad 2\mu_e + \lambda_e > 0, & \quad 2\mu_{\text{micro}} + \lambda_{\text{micro}} > 0. \end{aligned} \quad (35)$$

<sup>12</sup>We note here that  $4\mu_{\text{macro}} + 3\kappa_e > 0 \iff 2\mu_e + \lambda_e > \frac{4}{3}(\mu_e - \mu_{\text{macro}}) \iff 2\mu_{\text{macro}} + \lambda_{\text{macro}} > \kappa_{\text{macro}} - \kappa_e$ . Furthermore, if  $\mu_e + \mu_{\text{micro}} > 0$  and  $\kappa_e + \kappa_{\text{micro}} > 0$ , we have  $3(2\mu_e + \lambda_e) \geq 4\mu_{\text{macro}} + 3\kappa_e \geq 3(2\mu_{\text{macro}} + \lambda_{\text{macro}})$ , see Appendix.

Similarly, for the matrix  $\mathbf{B}_2$  the three principal minors are:

$$(\mathbf{B}_2)_{11} = \frac{\mu_e + \mu_c}{\rho}, \quad (36)$$

$$(\text{Cof } (\mathbf{B}_2))_{33} = \frac{k^2}{2\eta\rho} \left[ 4(\mu_e \mu_c + \mu_{\text{micro}}(\mu_e + \mu_c)) + (\mu_e + \mu_c) \mu_e L_c^2 k^2 \right]. \quad (37)$$

$$\det (\mathbf{B}_2) = \frac{k^2}{\eta^2 \rho} \left[ 4 \mu_{\text{micro}} \mu_c \mu_e + (\mu_e + \mu_c) \mu_{\text{micro}} \mu_e L_c^2 k^2 \right]. \quad (38)$$

For the matrix  $\mathbf{B}_2(k) = \mathbf{B}_3(k)$ , considering positive  $\eta$ ,  $\rho$  and separating terms in the brackets by looking at large and small values of  $k$ , we can state **necessary** and **sufficient** conditions for strict positive-definiteness of  $\mathbf{B}_2(k)$  at arbitrary  $k \neq 0$ :

$$\mu_e > 0, \quad \mu_{\text{micro}} > 0, \quad \mu_c \geq 0. \quad (39)$$

Since  $\mathbf{B}_4(k)$  is diagonal, it easy to show that positive definiteness is tantamount to the set of **necessary** and **sufficient** conditions for  $k \neq 0$ :

$$\mu_e > 0, \quad \mu_e + \mu_{\text{micro}} > 0, \quad \mu_c \geq 0. \quad (40)$$

On the other hand, considering the case  $k = 0$ , we obtain that the matrices reduce to:

$$\mathbf{B}_1(0) = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \omega_s^2 & 0 \\ 0 & 0 & \omega_p^2 \end{pmatrix}, \quad \mathbf{B}_2(0) = \mathbf{B}_3(0) = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \omega_s^2 & 0 \\ 0 & 0 & \omega_r^2 \end{pmatrix}, \quad \mathbf{B}_4(0) = \begin{pmatrix} \omega_s^2 & 0 & 0 \\ 0 & \omega_r^2 & 0 \\ 0 & 0 & \omega_s^2 \end{pmatrix}. \quad (41)$$

Since the matrices are diagonal for  $k = 0$ , it easy to show that positive semi-definiteness is tantamount to the set of **necessary** and **sufficient** conditions :

$$\mu_e \geq 0, \quad \mu_e + \mu_{\text{micro}} \geq 0, \quad \mu_c \geq 0, \quad \kappa_e + \kappa_{\text{micro}} \geq 0. \quad (42)$$

Hence, we can state a simple **sufficient** condition for real wave velocities for all real  $k$ :

$$\begin{aligned} \mu_e > 0, \quad \mu_{\text{micro}} > 0, \quad \kappa_e + \kappa_{\text{micro}} > 0, \quad 2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0, \\ 4\mu_{\text{macro}} + 3\kappa_e > 0, \quad 2\mu_e + \lambda_e > 0, \quad 2\mu_{\text{micro}} + \lambda_{\text{micro}} > 0. \end{aligned} \quad (43)$$

In order to see a set of global necessary conditions for positivity at arbitrary  $k \neq 0$  we consider first large and small values of  $k \neq 0$  separately. For  $k \rightarrow +\infty$  we must have:

$$2\mu_e + \lambda_e > 0, \quad (2\mu_e + \lambda_e)\mu_e L_c^2 > 0, \quad (2\mu_e + \lambda_e)(2\mu_{\text{micro}} + \lambda_{\text{micro}})\mu_e L_c^2 > 0, \quad (44)$$

or analogously:

$$2\mu_e + \lambda_e > 0, \quad \mu_e L_c^2 > 0, \quad 2\mu_{\text{micro}} + \lambda_{\text{micro}} > 0, \quad (45)$$

while for  $k \rightarrow 0$  we must have:

$$2\mu_e + \lambda_e > 0, \quad (4\mu_{\text{macro}} + 3\kappa_e)(\mu_e + \mu_{\text{micro}}) > 0, \quad (\kappa_e + \kappa_{\text{micro}})(\mu_e + \mu_{\text{micro}})(2\mu_{\text{macro}} + \lambda_{\text{macro}}) > 0. \quad (46)$$

Since from (39) we have necessarily  $\mu_e > 0$ ,  $\mu_{\text{micro}} > 0$ , and from (42) we get  $\kappa_e + \kappa_{\text{micro}} \geq 0$  and considering together the two limits for  $k$  we obtain the necessary condition:

$$\begin{aligned} 2\mu_e + \lambda_e > 0, \quad 2\mu_{\text{micro}} + \lambda_{\text{micro}} > 0, \quad 4\mu_{\text{macro}} + 3\kappa_e > 0, \quad \kappa_e + \kappa_{\text{micro}} > 0, \\ \mu_e > 0, \quad \mu_{\text{micro}} > 0, \quad \mu_c \geq 0, \quad 2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0. \end{aligned} \quad (47)$$

Inspection shows that (47) is our proposed sufficient condition (35). From  $\mu_e > 0$  and  $\mu_{\text{micro}} > 0$ , it follows that  $\mu_{\text{macro}} > 0$ . Therefore condition (47) is **necessary** and **sufficient**. We have shown our main proposition:



**Proposition (real wave velocities).** *The dynamic relaxed micromorphic model (eq. (13)) admits real planar waves if and only if*

$$\begin{aligned} \mu_c \geq 0, & & \mu_e > 0, & & 2\mu_e + \lambda_e > 0, & & (48) \\ & & \mu_{\text{micro}} > 0, & & 2\mu_{\text{micro}} + \lambda_{\text{micro}} > 0, & & \\ & & (\mu_{\text{macro}} > 0), & & 2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0, & & \\ \kappa_e + \kappa_{\text{micro}} > 0, & & & & 4\mu_{\text{macro}} + 3\kappa_e > 0. & & \blacksquare \end{aligned}$$

In (48) the requirement  $\mu_{\text{macro}} > 0$  is redundant, since it is already assumed that  $\mu_e, \mu_{\text{micro}} > 0$ . It is clear that positive definiteness of the elastic energy (2) implies (48). We remark that, as shown in the appendix 7.1, the set of inequalities (48) is already implied by:

$$\boxed{\mu_e > 0, \quad \mu_{\text{micro}} > 0, \quad \mu_c \geq 0, \quad \kappa_e + \kappa_{\text{micro}} > 0, \quad 2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0.} \quad (49)$$

Letting finally  $\mu_{\text{micro}} \rightarrow +\infty$  and  $\kappa_{\text{micro}} \rightarrow +\infty$  (or  $\mu_{\text{micro}} \rightarrow +\infty$  and  $\lambda_{\text{micro}} > \text{const.}$ ) generates the limit condition for real wave velocities ( $\mu_e \rightarrow \mu_{\text{macro}}$ )

$$\mu_{\text{macro}} > 0, \quad \mu_c \geq 0, \quad 2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0. \quad (50)$$

which coincides, up to  $\mu_c$ , with the strong ellipticity condition in isotropic linear elasticity, see section 3, and it coincides fully with the condition for real wave velocities in micropolar elasticity, see section 4. A condition similar to (50) can be found in [23, eq. 8.14 p. 26] where Mindlin requires that  $\mu_{\text{macro}} > 0$ ,  $2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0$ <sup>13</sup> (in our notation) which are obtained from the requirement of positive **group velocity** at  $k = 0$

$$\frac{d\omega_{\text{acoustic, long}}(0)}{dk} > 0, \quad \frac{d\omega_{\text{acoustic, trans}}(0)}{dk} > 0. \quad (51)$$

Let us emphasize that our method is not easily generalized to two immediate extensions. First, one could be interested in the isotropic relaxed micromorphic model with weighted inertia contributions and weighted curvatures [9]. Second, one could be interested in the anisotropic setting [4]. In both cases the block-structure of the problem will be lost and one has to deal with the full  $12 \times 12$  case, see equation (112) in the Appendix. Nonetheless, we expect positive-definiteness to always imply real wave propagation.

In [9] we show that the tangents of the acoustic branches in  $k = 0$  in the dispersion curves are

$$c_t = \frac{d\omega_{\text{acoustic, long}}(0)}{dk} = \sqrt{\frac{2\mu_{\text{macro}} + \lambda_{\text{macro}}}{\rho}}, \quad c_t = \frac{d\omega_{\text{acoustic, trans}}(0)}{dk} = \sqrt{\frac{\mu_{\text{macro}}}{\rho}}. \quad (52)$$

The tangents coincide with the classical linear elastic response if the latter has Lamé constants  $\mu_{\text{macro}}$  and  $\lambda_{\text{macro}}$ , as it is shown in Figure 2.

### 3 A comparison: classical isotropic linear elasticity

For classical linear elasticity with isotropic energy and kinetic energy:

$$W(\nabla u) = \mu_{\text{macro}} \|\text{sym}(\nabla u)\|^2 + \frac{\lambda_{\text{macro}}}{2} (\text{tr}(\nabla u))^2, \quad J = \frac{\rho}{2} \|u_t\|^2. \quad (53)$$

The positive definiteness of the energy is equivalent to:

$$\mu_{\text{macro}} > 0, \quad 2\mu_{\text{macro}} + 3\lambda_{\text{macro}} > 0. \quad (54)$$

It is easy to see that our homogenization formula (4) implies (54) under condition of positive definiteness of the relaxed micromorphic model.

<sup>13</sup>Mindlin explains that such parameters “are less than those that would be calculated from the strain-stiffnesses [of the unit cell]. This phenomenon is due to the compliance of the unit cell and has been found in a theory of crystal lattices by Gazis and Wallis [12]”.

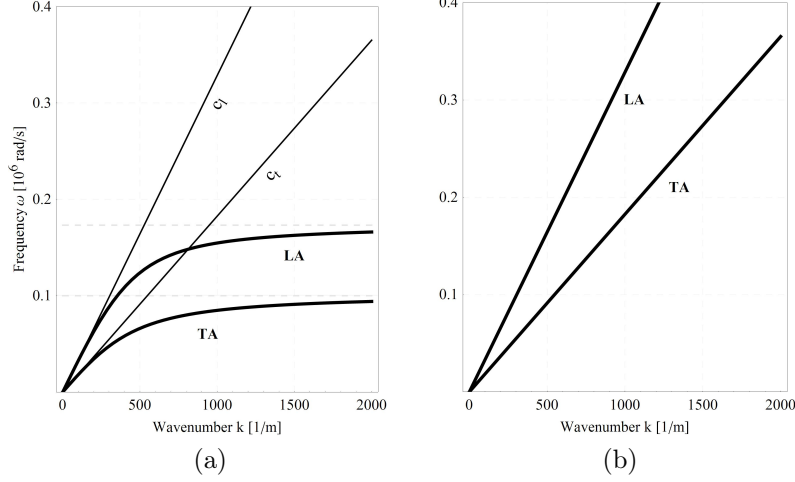


Figure 2: Dispersion relations  $\omega = \omega(k)$  for the longitudinal acoustic wave LA, and the transverse acoustic TA in the **relaxed micromorphic model** (a) and in a classical Cauchy medium (b).

The dynamical formulation is obtained defining a joint Hamiltonian and assuming stationary action. The dynamical equilibrium equations are:

$$\rho u_{,tt} = \text{Div} [2\mu_{\text{macro}} \text{sym}(\nabla u) + \lambda_{\text{macro}} \text{tr}(\nabla u) \mathbf{1}]. \quad (55)$$

As before, in our study of wave propagation in micromorphic media we limit ourselves to the case of plane waves traveling in an infinite domain. We suppose that the space dependence of all introduced kinematic fields are limited to a direction defined by a unit vector  $\tilde{\xi} \in \mathbb{R}^3$  which is the direction of propagation of the wave. Therefore, we look for solutions of (55) in the form:

$$u(x, t) = \hat{u} e^{i(k\langle \tilde{\xi}, x \rangle_{\mathbb{R}^3} - \omega t)}, \quad \hat{u} \in \mathbb{C}^3, \quad \|\tilde{\xi}\|^2 = 1. \quad (56)$$

Since our formulation is isotropic, we can, without loss of generality, specify the direction  $\tilde{\xi} = e_1$ . Then  $X = \langle e_1, x \rangle = x_1$ , and we obtain:

$$u(x, t) = \hat{u} e^{i(kX - \omega t)}, \quad \hat{u} \in \mathbb{C}^3. \quad (57)$$

With this ansatz it is possible to write (55) as:

$$\mathbf{A}_5(e_1, \omega, k) \hat{u} = 0 \iff (\mathcal{B}(e_1, k) - \omega^2 \mathbf{1}) \hat{u} = 0, \quad (58)$$

where:

$$\mathbf{A}_5(e_1, \omega, k) = \begin{pmatrix} \frac{2\mu_{\text{macro}} + \lambda_{\text{macro}}}{\rho} k^2 - \omega^2 & 0 & 0 \\ 0 & \frac{\mu_{\text{macro}}}{\rho} k^2 - \omega^2 & 0 \\ 0 & 0 & \frac{\mu_{\text{macro}}}{\rho} k^2 - \omega^2 \end{pmatrix}, \quad (59)$$

$$\mathcal{B}(e_1, k) = \frac{k^2}{\rho} \begin{pmatrix} 2\mu_{\text{macro}} + \lambda_{\text{macro}} & 0 & 0 \\ 0 & \mu_{\text{macro}} & 0 \\ 0 & 0 & \mu_{\text{macro}} \end{pmatrix}. \quad (60)$$

Here, we observe that  $\mathbf{A}_5(e_1, \omega, k)$  is already diagonal and real. Requesting real wave velocities means  $\omega^2 \geq 0$ . For  $k \neq 0$ , this leads to the classical so-called **strong ellipticity condition**:

$$\mu_{\text{macro}} > 0, \quad 2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0, \quad (61)$$

which is implied by positive definiteness of the energy (54).

In classical (linear or nonlinear) elasticity, the condition of real wave propagation (61) is equivalent to **strong ellipticity** and **rank-one convexity**. Indeed, rank-one convexity amounts to set  $(\xi = k\hat{\xi}$  with  $\|\xi\|^2 = 1)$ :

$$\left. \frac{d^2}{dt^2} \right|_{t=0} W(\nabla u + t\hat{u} \otimes \xi) \geq 0 \iff \langle \mathbb{C}(\hat{u} \otimes \xi), \hat{u} \otimes \xi \rangle \geq 0, \quad (62)$$

where  $\mathbb{C}$  is the fourth-order elasticity tensor. Condition (62) reads then:

$$0 \leq 2\mu_{\text{macro}} \|\text{sym}(\hat{u} \otimes \xi)\|^2 + \lambda_{\text{macro}} (\text{tr}(\hat{u} \otimes \xi))^2 = \mu_{\text{macro}} \|\hat{u}\|^2 \|\xi\|^2 + (\mu_{\text{macro}} + \lambda_{\text{macro}}) \langle \hat{u}, \xi \rangle^2.$$

We may express (63) given  $\xi \in \mathbb{R}^3$  as a quadratic form in  $\hat{u} \in \mathbb{R}^3$ , which results in:

$$\mu_{\text{macro}} \|\hat{u}\|^2 \|\xi\|^2 + (\mu_{\text{macro}} + \lambda_{\text{macro}}) \langle \hat{u}, \xi \rangle^2 = \langle \mathcal{D}(\xi) \hat{u}, \hat{u} \rangle, \quad (63)$$

where the components of the symmetric and real  $3 \times 3$  matrix  $\mathcal{D}(\xi)$  read

$$\mathcal{D}(\xi) = \begin{pmatrix} (2\mu_{\text{macro}} + \lambda_{\text{macro}})\xi_1^2 + \mu_{\text{macro}}(\xi_2^2 + \xi_3^2) & (\lambda_{\text{macro}} + \mu_{\text{macro}})\xi_1 \xi_2 & & & & \\ (\lambda_{\text{macro}} + \mu_{\text{macro}})\xi_1 \xi_2 & (2\mu_{\text{macro}} + \lambda_{\text{macro}})\xi_2^2 + \mu_{\text{macro}}(\xi_1^2 + \xi_3^2) & & & & \\ (\lambda_{\text{macro}} + \mu_{\text{macro}})\xi_1 \xi_3 & & (\lambda_{\text{macro}} + \mu_{\text{macro}})\xi_1 \xi_2 & & & \\ (\lambda_{\text{macro}} + \mu_{\text{macro}})\xi_1 \xi_3 & & & (\lambda_{\text{macro}} + \mu_{\text{macro}})\xi_2 \xi_3 & & \\ (\lambda_{\text{macro}} + \mu_{\text{macro}})\xi_2 \xi_3 & & & & & \\ 2\mu_{\text{macro}} + \lambda_{\text{macro}}\xi_3^2 + \mu_{\text{macro}}(\xi_1^2 + \xi_2^2) & & & & & \end{pmatrix}. \quad (64)$$

The three principal invariants are independent of the direction  $\xi$  due to isotropy and are given by:

$$\begin{aligned} \text{tr}(\mathcal{D}(\xi)) &= \|\xi\|^2 (4\mu_{\text{macro}} + \lambda_{\text{macro}}) = k^2 (4\mu_{\text{macro}} + \lambda_{\text{macro}}), \\ \text{tr}(\text{Cof} \mathcal{D}(\xi)) &= \|\xi\|^4 \mu_{\text{macro}} (5\mu_{\text{macro}} + 2\lambda_{\text{macro}}) = k^4 \mu_{\text{macro}} (5\mu_{\text{macro}} + 2\lambda_{\text{macro}}), \\ \det(\mathcal{D}(\xi)) &= \|\xi\|^6 \mu_{\text{macro}}^2 (2\mu_{\text{macro}} + \lambda_{\text{macro}}) = k^6 \mu_{\text{macro}}^2 (2\mu_{\text{macro}} + \lambda_{\text{macro}}). \end{aligned} \quad (65)$$

Since  $\mathcal{D}(\xi)$  is real and symmetric, its eigenvalues are real. The eigenvalues of the matrix  $\mathcal{D}(\xi)$  are  $k^2(2\mu_{\text{macro}} + \lambda_{\text{macro}})$ ,  $k^2\mu_{\text{macro}}$  and  $k^2\mu_{\text{macro}}$  such that positivity at  $k \neq 0$  is satisfied if and only if<sup>14</sup>:

$$\mu_{\text{macro}} > 0, \quad 2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0, \quad (66)$$

which are the usual strong ellipticity conditions. We note here that the latter calculations also show that  $\mathcal{B}(e_1) = \frac{1}{\rho} k^2 \mathcal{D}(e_1)$ . Alternatively, one may directly form the so-called **acoustic tensor**  $B(\xi) \in \mathbb{R}^{3 \times 3}$  by

$$B(\xi) \cdot \hat{u} := [\mathbb{C}(\hat{u} \otimes \xi)] \cdot \xi, \quad \forall \hat{u} \in \mathbb{R}^3, \quad (67)$$

in indices we have  $(B(\xi))_{ij} = \mathbb{C}^{ijkl} \hat{u}_k \hat{u}_l \neq \mathbb{C}(\xi \otimes \xi)$ . With (67) we obtain<sup>15</sup>:

$$\begin{aligned} \langle \hat{u}, B(\xi) \cdot \hat{u} \rangle_{\mathbb{R}^3} &= \langle \underbrace{[\mathbb{C}(\hat{u} \otimes \xi)] \xi}_{=: \hat{B} \in \mathbb{R}^{3 \times 3}}, \hat{u} \rangle_{\mathbb{R}^3} = \langle \hat{B} \xi, \hat{u} \rangle_{\mathbb{R}^3} = \langle \hat{B}(\xi \otimes \hat{u}), \mathbf{1} \rangle_{\mathbb{R}^{3 \times 3}} = \langle \hat{B}, (\xi \otimes \hat{u})^T \rangle_{\mathbb{R}^{3 \times 3}} \\ &= \langle \hat{B}, \hat{u} \otimes \xi \rangle_{\mathbb{R}^{3 \times 3}} = \langle \mathbb{C}(\hat{u} \otimes \xi), \hat{u} \otimes \xi \rangle_{\mathbb{R}^{3 \times 3}}, \end{aligned} \quad (68)$$

and we see that strong ellipticity  $\langle \mathbb{C}(\hat{u} \otimes \xi), \hat{u} \otimes \xi \rangle_{\mathbb{R}^{3 \times 3}} > 0$  is equivalent to the positive definiteness of the acoustic tensor  $B(\xi)$ .

<sup>14</sup>The eigenvalues of  $\mathcal{D}(\xi)$  are independent of the propagation direction  $\xi \in \mathbb{R}^3$  which makes sense for the isotropic formulation at hand.

<sup>15</sup> $[\mathbb{C}(\hat{u} \otimes \xi)](\hat{u} \otimes \xi) \neq \mathbb{C}[(\hat{u} \otimes \xi)(\hat{u} \otimes \xi)]$ .

## 4 A further comparison: the Cosserat model

In the isotropic hyperelastic case the elastic energy density and the kinetic energy of the Cosserat model read:

$$W = \mu_{\text{macro}} \|\text{sym}(\nabla u)\|^2 + \mu_c \|\text{skew}(\nabla u - A)\|^2 + \frac{\lambda_{\text{macro}}}{2} (\text{tr}(\nabla u))^2 + \frac{\mu_{\text{macro}} L_c^2}{2} \|\text{Curl}A\|^2, \quad (69)$$

$$J = \frac{\rho}{2} \|u_{,t}\|^2 + \frac{\eta}{2} \|A_{,t}\|^2,$$

where  $A \in \mathfrak{so}(3)$ , can be expressed as a function of  $a \in \mathbb{R}^3$  as:

$$A = \text{anti}(a) = \begin{pmatrix} 0 & -a_3 & a_2 \\ a_3 & 0 & -a_1 \\ -a_2 & a_1 & 0 \end{pmatrix}. \quad (70)$$

Here, we assume for clarity a uni-constant curvature expression in terms of only  $\|\text{Curl}A\|^2$ . Strict positive definiteness of the potential energy is equivalent to the following simple relations for the introduced parameters

$$2\mu_{\text{macro}} + 3\lambda_{\text{macro}} > 0, \quad \mu_{\text{macro}} > 0, \quad \mu_c > 0, \quad L_c > 0. \quad (71)$$

The dynamical formulation is obtained defining a joint Hamiltonian and assuming stationary action. The dynamical equilibrium equations are:

$$\rho u_{,tt} = \text{Div} [2\mu_{\text{macro}} \text{sym}(\nabla u - A) + 2\mu_c \text{skew}(\nabla u - A) + \lambda_{\text{macro}} \text{tr}(\nabla u - A) \mathbb{1}],$$

$$\eta A_{,tt} = -\mu_{\text{macro}} L_c^2 \text{Curl} \text{Curl}A + 2\mu_c \text{skew}(\nabla u - A),$$

see also [16, 17, 32, 33] for formulations in terms of axial vectors. Considering plane and stationary waves of amplitudes  $\hat{u}$  and  $\hat{a}$ , it is possible to express this system as:

$$\mathbf{A}_6(\omega, k) \cdot (\hat{u}_1 \quad \hat{a}_1)^T = 0, \quad \mathbf{A}_7(\omega, k) \cdot (\hat{u}_2 \quad -\hat{a}_3)^T = 0, \quad \mathbf{A}_7(\omega, k) \cdot (\hat{u}_3 \quad \hat{a}_2)^T = 0, \quad (72)$$

where

$$\mathbf{A}_6(\omega, k) = \begin{pmatrix} k^2(2\mu_{\text{macro}} + \lambda_{\text{macro}})/\rho - \omega^2 & 0 \\ 0 & (2\mu_{\text{macro}} L_c^2 k^2 + 2\mu_c)/\eta - \omega^2 \end{pmatrix}, \quad (73)$$

$$\mathbf{A}_7(\omega, k) = \begin{pmatrix} k^2(\mu_{\text{macro}} + \mu_c)/\rho - \omega^2 & -2ik\mu_c/\rho \\ ik\mu_c/\eta & (k^2\mu_{\text{macro}} L_c^2 + 4\mu_c)/(2\eta) - \omega^2 \end{pmatrix}. \quad (74)$$

As done in the case of the relaxed micromorphic model, it is possible to express equivalently the problem with  $\mathbf{A}_6(\omega, k)$  and the following symmetric matrix:

$$\overline{\mathbf{A}}_7(k) = \text{diag}_7 \cdot \mathbf{A}_7(\omega, k) \cdot \text{diag}_7^{-1} = \begin{pmatrix} k^2(\mu_{\text{macro}} + \mu_c)/\rho - \omega^2 & \sqrt{2}k\mu_c/\sqrt{\rho\eta} \\ \sqrt{2}k\mu_c/\sqrt{\rho\eta} & (k^2\mu_{\text{macro}} L_c^2 + 4\mu_c)/(2\eta) - \omega^2 \end{pmatrix}, \quad (75)$$

where

$$\text{diag}_7 = \begin{pmatrix} \sqrt{\rho} & 0 \\ 0 & i\sqrt{2\eta} \end{pmatrix}. \quad (76)$$

Since  $\omega^2$  appears only on the diagonal, the problem can be analogously expressed as the following eigenvalue-problems:

$$\det(\mathbf{B}_6(k) - \omega^2 \mathbb{1}) = 0, \quad \det(\mathbf{B}_7(k) - \omega^2 \mathbb{1}) = 0, \quad (77)$$

where

$$\mathbf{B}_6(k) = \begin{pmatrix} k^2(2\mu_{\text{macro}} + \lambda_{\text{macro}})/\rho & 0 \\ 0 & (2\mu_{\text{macro}} L_c^2 k^2 + 2\mu_c)/\eta^2 \end{pmatrix}, \quad (78)$$

$$\mathbf{B}_7(k) = \begin{pmatrix} k^2(\mu_{\text{macro}} + \mu_c)/\rho & \sqrt{2}k\mu_c/\sqrt{\rho\eta} \\ \sqrt{2}k\mu_c/\sqrt{\rho\eta} & (k^2\mu_{\text{macro}} L_c^2 + 4\mu_c)/(2\eta) \end{pmatrix}, \quad (79)$$

are the blocks of the acoustic tensor  $\mathbf{B}$

$$\mathbf{B}(k) = \begin{pmatrix} \mathbf{B}_6 & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{B}_7 & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{B}_7 \end{pmatrix}. \quad (80)$$

The eigenvalues of the matrix  $\mathbf{B}_6(k)$  are simply the elements of the diagonal, therefore we have:

$$\omega_{\text{acoustic, long}}(k) = k \sqrt{\frac{2\mu_{\text{macro}} + \lambda_{\text{macro}}}{\rho}}, \quad \omega_{\text{optic, long}}(k) = \sqrt{\frac{2\mu_{\text{macro}}L_c^2k^2 + 2\mu_c}{\eta}}, \quad (81)$$

while for  $\mathbf{B}_7(k)$  it is possible to find:

$$\omega_{\text{acoustic, trans}}(k) = \sqrt{a(k) - \sqrt{a(k)^2 - b^2k^2}}, \quad \omega_{\text{optic, trans}}(k) = \sqrt{a(k) + \sqrt{a(k)^2 - b^2k^2}}, \quad (82)$$

where we have set:

$$a(k) = \frac{4\mu_c + \mu_{\text{macro}}L_c^2k^2}{\eta} + 2\frac{\mu_{\text{macro}} + \mu_c}{\rho}k^2, \quad b^2 = 8\frac{\mu_{\text{macro}}(4\mu_c + k^2L_c^2(\mu_{\text{macro}} + \mu_c))}{\rho\eta}. \quad (83)$$

The acoustic branches are those curves  $\omega = \omega(k)$  as solutions of (76) that satisfy  $\omega(0) = 0$ . We note here that the acoustic branches of the longitudinal and transverse dispersion curves have as tangent in  $k = 0$ <sup>16</sup>

$$c_l = \frac{d\omega_{\text{acoustic, long}}(0)}{dk} = \sqrt{\frac{2\mu_{\text{macro}} + \lambda_{\text{macro}}}{\rho}}, \quad c_t = \frac{d\omega_{\text{acoustic, trans}}(0)}{dk} = \sqrt{\frac{\mu_{\text{macro}}}{\rho}}, \quad (84)$$

respectively. Moreover, the longitudinal acoustic branch is non-dispersive, i.e. a straight line with slope (84)<sub>1</sub>. The matrix  $\mathbf{B}_6(k)$  is positive-definite for arbitrary  $k \neq 0$  if:

$$2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0, \quad \mu_{\text{macro}} > 0, \quad \mu_c \geq 0, \quad (85)$$

Using the Sylvester criterion,  $\mathbf{B}_7(k)$  is positive-definite if and only if the principal minors are positive, namely:

$$\begin{aligned} (\mathbf{B}_7)_{11} &= k^2 \frac{(\mu_{\text{macro}} + \mu_c)}{\rho} > 0, \\ \det(\mathbf{B}_7) &= \frac{k^2}{2\eta\rho} (4\mu_{\text{macro}}\mu_c + k^2\mu_{\text{macro}}L_c^2(\mu_{\text{macro}} + \mu_c)) > 0, \end{aligned} \quad (86)$$

from which we obtain the condition:

$$\mu_{\text{macro}} + \mu_c > 0, \quad \mu_{\text{macro}} > 0, \quad \mu_c \geq 0. \quad (87)$$

Considering these two sets of conditions, it is possible to state a **necessary** and **sufficient** condition for the positive definiteness of  $\mathbf{B}_6(k)$  and  $\mathbf{B}_7(k)$  and therefore of the acoustic tensor  $\mathbf{B}(k)$ :

$$2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0, \quad \mu_{\text{macro}} > 0, \quad \mu_c \geq 0. \quad (88)$$

which are implied by the positive-definiteness of the energy (71). Eringen [11, p.150] also obtains correctly (85) **and** (87) (in his notation  $\mu_c = \kappa/2$ ,  $\mu_{\text{macro}} = \mu_{\text{Eringen}} + \kappa/2$ ).

In [2, 10] strong ellipticity for the Cosserat-micropolar model is defined and investigated. In this respect we note that ellipticity is connected to acceleration waves while our investigation concerns real wave velocities for planar waves. Similarly to [28] it is established in [2, 10] that strong ellipticity for the micropolar model holds if and only if (the uni-constant curvature case in our notation):

$$2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0, \quad \mu_{\text{macro}} + \mu_c > 0. \quad (89)$$

We conclude that for micropolar material models, (and therefore also for micromorphic materials) strong ellipticity (89) is too weak to ensure real planar waves since it is implied by, but does not imply (88). This fact seems not to have been well appreciated before.

<sup>16</sup>To obtain the slopes in 0 it is possible to search for a solution of the type  $\omega = ak$  and then evaluate the limit for  $a \rightarrow 0$ , see [9] for a thorough explanation in the relaxed micromorphic case.

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## 7 Appendix

### 7.1 Inequality relations between material parameters

The formulas in section 2.1 are based on the harmonic mean of two numbers  $\kappa_e$  and  $\kappa_{\text{micro}}$  (or  $\mu_e$  and  $\mu_{\text{micro}}$ ). If the two numbers are positive, it is easy to see that:

$$\kappa_{\text{macro}} \leq \min(\kappa_e, \kappa_{\text{micro}}). \quad (90)$$

Here, we show that the same conclusion still holds if we merely assume that  $\kappa_e + \kappa_{\text{micro}} > 0$ . This allows for either  $\kappa_e < 0$  or  $\kappa_{\text{micro}} < 0$ . Therefore, considering that  $\kappa_e + \kappa_{\text{micro}} > 0$ , even if the energy is not strictly positive, it is possible to derive that:

$$\begin{aligned} \kappa_{\text{macro}} &= \frac{\kappa_{\text{micro}} \kappa_e}{\kappa_e + \kappa_{\text{micro}}} = \frac{\kappa_{\text{micro}} \kappa_e + \kappa_e^2 - \kappa_e^2}{\kappa_e + \kappa_{\text{micro}}} = \kappa_e \frac{\kappa_{\text{micro}} + \kappa_e}{\kappa_e + \kappa_{\text{micro}}} - \frac{\kappa_e^2}{\kappa_e + \kappa_{\text{micro}}} = \kappa_e - \underbrace{\frac{\kappa_e^2}{\kappa_e + \kappa_{\text{micro}}}}_{\leq 0} \leq \kappa_e, \quad (91) \\ \kappa_{\text{macro}} &= \frac{\kappa_{\text{micro}} \kappa_e}{\kappa_e + \kappa_{\text{micro}}} = \frac{\kappa_{\text{micro}} \kappa_e + \kappa_{\text{micro}}^2 - \kappa_{\text{micro}}^2}{\kappa_e + \kappa_{\text{micro}}} = \kappa_{\text{micro}} \frac{\kappa_{\text{micro}} + \kappa_e}{\kappa_e + \kappa_{\text{micro}}} - \frac{\kappa_{\text{micro}}^2}{\kappa_e + \kappa_{\text{micro}}} = \kappa_{\text{micro}} - \underbrace{\frac{\kappa_{\text{micro}}^2}{\kappa_e + \kappa_{\text{micro}}}}_{\leq 0} \leq \kappa_{\text{micro}}. \end{aligned}$$

Considering similarly  $\mu_e + \mu_{\text{micro}} > 0$ , it is possible to obtain:

$$\begin{aligned}\mu_{\text{macro}} &= \frac{\mu_{\text{micro}} \mu_e}{\mu_e + \mu_{\text{micro}}} = \frac{\mu_{\text{micro}} \mu_e + \mu_e^2 - \mu_e^2}{\mu_e + \mu_{\text{micro}}} = \mu_e \frac{\mu_{\text{micro}} + \mu_e}{\mu_e + \mu_{\text{micro}}} - \frac{\mu_e^2}{\mu_e + \mu_{\text{micro}}} = \mu_e \underbrace{- \frac{\mu_e^2}{\mu_e + \mu_{\text{micro}}}}_{\leq 0} \leq \mu_e, \quad (92) \\ \mu_{\text{macro}} &= \frac{\mu_{\text{micro}} \mu_e}{\mu_e + \mu_{\text{micro}}} = \frac{\mu_{\text{micro}} \mu_e + \mu_{\text{micro}}^2 - \mu_{\text{micro}}^2}{\mu_e + \mu_{\text{micro}}} = \mu_{\text{micro}} \frac{\mu_{\text{micro}} + \mu_e}{\mu_e + \mu_{\text{micro}}} - \frac{\mu_{\text{micro}}^2}{\mu_e + \mu_{\text{micro}}} = \mu_{\text{micro}} \underbrace{- \frac{\mu_{\text{micro}}^2}{\mu_e + \mu_{\text{micro}}}}_{\leq 0} \leq \mu_{\text{micro}}.\end{aligned}$$

Therefore, if  $\mu_e + \mu_{\text{micro}} > 0$  and  $\kappa_e + \kappa_{\text{micro}} > 0$ , the macroscopic parameters are less or equal than respective microscopic parameters, namely:

$$\kappa_e \geq \kappa_{\text{macro}}, \quad \kappa_{\text{micro}} \geq \kappa_{\text{macro}} \quad \mu_e \geq \mu_{\text{macro}}, \quad \mu_{\text{micro}} \geq \mu_{\text{macro}}, \quad (93)$$

and it is possible to show that:

$$\begin{aligned}2\mu_e + \lambda_e &= \frac{1}{3}(4\mu_e + 3\kappa_e) \geq \frac{1}{3}(4\mu_{\text{macro}} + 3\kappa_{\text{macro}}) = 2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0, \\ 2\mu_{\text{micro}} + \lambda_{\text{micro}} &= \frac{1}{3}(4\mu_{\text{micro}} + 3\kappa_{\text{micro}}) \geq \frac{1}{3}(4\mu_{\text{macro}} + 3\kappa_{\text{macro}}) = 2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0, \quad (94) \\ (2\mu_e + \lambda_e) + (2\mu_{\text{micro}} + \lambda_{\text{micro}}) &\geq 2(2\mu_{\text{macro}} + \lambda_{\text{macro}}) > 0, \\ 4\mu_{\text{macro}} + 3\kappa_e &\geq 4\mu_{\text{macro}} + 3\kappa_{\text{macro}} = 3(2\mu_{\text{macro}} + \lambda_{\text{macro}}) > 0.\end{aligned}$$

Therefore, the set of inequalities (48) is implied from the smaller set:

$$\boxed{\mu_e > 0, \quad \mu_{\text{micro}} > 0, \quad \mu_c \geq 0, \quad \kappa_e + \kappa_{\text{micro}} > 0, \quad 2\mu_{\text{macro}} + \lambda_{\text{macro}} > 0.} \quad (95)$$

We note here that  $3(2\mu_e + \lambda_e) \geq 4\mu_{\text{macro}} + 3\kappa_e \geq 3(2\mu_{\text{macro}} + \lambda_{\text{macro}})$  because:

$$3(2\mu_e + \lambda_e) = 4\mu_e + 3\kappa_e \geq 4\mu_{\text{macro}} + 3\kappa_e \geq 4\mu_{\text{macro}} + 3\kappa_{\text{macro}} = 3(2\mu_{\text{macro}} + \lambda_{\text{macro}}). \quad (96)$$

## 7.2 The $12 \times 12$ acoustic tensor for arbitrary direction

We suppose that the space dependence of all introduced kinematic fields are limited to a direction defined by a unit vector  $\xi$  which is the direction of propagation of the wave. Therefore, we look for solutions of:

$$\begin{aligned}\rho u_{,tt} &= \text{Div} [2\mu_e \text{sym}(\nabla u - P) + 2\mu_c \text{skew}(\nabla u - P) + \lambda_e \text{tr}(\nabla u - P)\mathbb{1}], \\ \eta P_{,tt} &= -\mu_e L_c^2 \text{Curl} \text{Curl} P + 2\mu_e \text{sym}(\nabla u - P) + 2\mu_c \text{skew}(\nabla u - P) \\ &\quad + \lambda_e \text{tr}(\nabla u - P)\mathbb{1} - [2\mu_{\text{micro}} \text{sym} P + \lambda_{\text{micro}} \text{tr}(P)\mathbb{1}],\end{aligned} \quad (97)$$

in the form:

$$\begin{aligned}u(x, t) &= \widehat{u} \underbrace{e^{i(k\langle \xi, x \rangle_{\mathbb{R}^3} - \omega t)}}_{s(x, t) \in \mathbb{R}/\mathbb{C} \text{ scalar}}, & \widehat{u} &\in \mathbb{C}^3, & \|\xi\|^2 &= 1, \\ P(x, t) &= \widehat{P} \underbrace{e^{i(k\langle \xi, x \rangle_{\mathbb{R}^3} - \omega t)}}_{s(x, t) \in \mathbb{R}/\mathbb{C} \text{ scalar}}, & \widehat{P} &\in \mathbb{C}^{3 \times 3}.\end{aligned} \quad (98)$$

We start by remarking that considering  $A, B \in \mathbb{R}^{3 \times 3}$  we have that:

$$\text{Curl}(A \cdot B) = L_B(\nabla A) + A \cdot \text{Curl}(B), \quad (99)$$

therefore we obtain:

$$\text{Curl}_x(\widehat{P} \cdot s(x, t)) = \text{Curl}(\widehat{P} \cdot s(x, t) \cdot \mathbb{1}) = \widehat{P} \cdot \text{Curl}(s(x, t)\mathbb{1}), \quad (100)$$

where:

$$\text{Curl}(s(x, t)\mathbb{1}) = \begin{pmatrix} 0 & \partial_3 s(x, t) & \partial_2 s(x, t) \\ -\partial_3 s(x, t) & 0 & \partial_1 s(x, t) \\ \partial_2 s(x, t) & -\partial_1 s(x, t) & 0 \end{pmatrix} \in \mathfrak{so}(3). \quad (101)$$

The derivatives of  $s(x, t)$  can be evaluated considering:

$$\nabla_x s(x, t) = \begin{pmatrix} \partial_1 s(x, t) \\ \partial_2 s(x, t) \\ \partial_3 s(x, t) \end{pmatrix} = e^{i(k\langle \xi, x \rangle_{\mathbb{R}^3} - \omega t)} \begin{pmatrix} i k \xi_1 \\ i k \xi_2 \\ i k \xi_3 \end{pmatrix} = e^{i(k\langle \xi, x \rangle_{\mathbb{R}^3} - \omega t)} i k \xi = i k \xi s(x, t). \quad (102)$$

It can be noticed that:

$$\text{Curl}(s(x, t)\mathbb{1}) = \text{anti}(\nabla s(x, t)) = e^{i(k\langle \xi, x \rangle_{\mathbb{R}^3} - \omega t)} i k \text{anti}(\xi) = s(x, t) i k \text{anti}(\xi). \quad (103)$$



Therefore, it is possible to evaluate the Curl Curl  $P$  as:

$$\begin{aligned} \text{Curl Curl}(\widehat{P} s(x, t)) &= \text{Curl}(\widehat{P} \cdot \underbrace{\text{anti}(\xi)}_{\in \text{so}(3)} i k s(x, t)) = i k \text{Curl}([\widehat{P} \cdot \text{anti}(\xi)] \cdot \mathbf{1} s(x, t)) = i k \widehat{P} \cdot \text{anti}(\xi) \text{Curl}(\mathbf{1} s(x, t)) \quad (104) \\ &= i k i k \widehat{P} \cdot \text{anti}(\xi) \cdot \text{anti}(\xi) s(x, t) = -k^2 \widehat{P} \cdot \text{anti}(\xi) \cdot \text{anti}(\xi) e^{i(k(\xi, x)_{\mathbb{R}^3} - \omega t)}. \end{aligned}$$

On the other hand, the second derivative of  $P$  with respect to time is:

$$P_{,tt} = \partial_t^2 (\widehat{P} e^{i(k(\xi, x)_{\mathbb{R}^3} - \omega t)}) = -\omega^2 \widehat{P} e^{i(k(\xi, x)_{\mathbb{R}^3} - \omega t)} = -\omega^2 \widehat{P} s(x, t). \quad (105)$$

Analogously for  $u$  it is possible to evaluate the gradient and the derivatives with respect to time as:

$$\nabla_x u = i k s(x, t) \widehat{u} \otimes \xi, \quad u_{,tt} = -\omega^2 \widehat{u} s(x, t). \quad (106)$$

The sym, skew and tr of  $\nabla u - P$  can then be expressed as:

$$\begin{aligned} \text{sym}(\nabla u - P) &= \text{sym}(i k \widehat{u} \otimes \xi - \widehat{P}) s(x, t) = (i k \text{sym}(\widehat{u} \otimes \xi) - \text{sym} \widehat{P}) s(x, t), \\ \text{skew}(\nabla u - P) &= \text{skew}(i k \widehat{u} \otimes \xi - \widehat{P}) s(x, t) = (i k \text{skew}(\widehat{u} \otimes \xi) - \text{skew} \widehat{P}) s(x, t), \\ \text{tr}(\nabla u - P) &= \text{tr}(i k \widehat{u} \otimes \xi - \widehat{P}) s(x, t) = (i k \langle \widehat{u}, \xi \rangle - \text{tr} \widehat{P}) s(x, t). \end{aligned} \quad (107)$$

Therefore, we have:

$$\begin{aligned} \text{Div sym}(\nabla u - P) &= \text{Div} \left[ (i k \text{sym}(\widehat{u} \otimes \xi) - \text{sym} \widehat{P}) s(x, t) \right] = (i k \text{sym}(\widehat{u} \otimes \xi) - \text{sym} \widehat{P}) \cdot \nabla_x s(x, t) \\ &= (i k \text{sym}(\widehat{u} \otimes \xi) - \text{sym} \widehat{P}) \cdot (i k \xi s(x, t)) = -(k^2 \text{sym}(\widehat{u} \otimes \xi) \cdot \xi + i k \text{sym} \widehat{P} \cdot \xi) s(x, t), \\ \text{Div skew}(\nabla u - P) &= \text{Div} \left[ (i k \text{skew}(\widehat{u} \otimes \xi) - \text{skew} \widehat{P}) s(x, t) \right] = (i k \text{skew}(\widehat{u} \otimes \xi) - \text{skew} \widehat{P}) \cdot \nabla_x s(x, t) \\ &= (i k \text{skew}(\widehat{u} \otimes \xi) - \text{skew} \widehat{P}) \cdot (i k \xi s(x, t)) = -(k^2 \text{skew}(\widehat{u} \otimes \xi) \cdot \xi + i k \text{skew} \widehat{P} \cdot \xi) s(x, t), \\ \text{Div}(\text{tr}(\nabla u - P) \mathbf{1}) &= \text{Div} \left[ \left( (i k \langle \widehat{u}, \xi \rangle - \text{tr} \widehat{P}) \mathbf{1} \right) s(x, t) \right] = (i k \langle \widehat{u}, \xi \rangle - \text{tr} \widehat{P}) \mathbf{1} \cdot \nabla_x s(x, t) \\ &= (i k \langle \widehat{u}, \xi \rangle - \text{tr} \widehat{P}) \mathbf{1} \cdot (i k \xi s(x, t)) = -(k^2 \langle \widehat{u}, \xi \rangle + i k \text{tr} \widehat{P}) \xi s(x, t). \end{aligned} \quad (108)$$

Here, we have used the relationship:

$$\text{Div}[B s(x, t)] = \underbrace{\text{Div}[B]}_{=0} s(x, t) + B \cdot \nabla_x s(x, t), \quad (109)$$

where  $B \in \mathbb{R}^{3 \times 3}$  and  $s(x, t)$  is a scalar. With all the formulas obtained it is possible to write (97) simplifying  $s(x, t)$  everywhere as:

$$\begin{aligned} -\rho \omega^2 \widehat{u} &= -[2\mu_e (k^2 \text{sym}(\widehat{u} \otimes \xi) \cdot \xi + i k \text{sym} \widehat{P} \cdot \xi) + 2\mu_c (k^2 \text{skew}(\widehat{u} \otimes \xi) \cdot \xi + i k \text{skew} \widehat{P} \cdot \xi) \\ &\quad + \lambda_e (k^2 \langle \widehat{u}, \xi \rangle + i k \text{tr} \widehat{P}) \xi], \\ -\eta \omega^2 \widehat{P} &= \mu_e L_c^2 k^2 \widehat{P} \text{anti}(\xi) \cdot \text{anti}(\xi) + 2\mu_e (i k \text{sym}(\widehat{u} \otimes \xi) - \text{sym} \widehat{P}) + 2\mu_c (i k \text{skew}(\widehat{u} \otimes \xi) - \text{skew} \widehat{P}) \\ &\quad + \lambda_e (i k \langle \widehat{u}, \xi \rangle - \text{tr} \widehat{P}) \mathbf{1} - [2\mu_{\text{micro}} \text{sym} \widehat{P} + \lambda_{\text{micro}} \text{tr}(\widehat{P}) \mathbf{1}], \end{aligned} \quad (110)$$

or analogously:

$$\begin{aligned} -\rho \omega^2 \widehat{u} + k^2 (2\mu_e \text{sym}(\widehat{u} \otimes \xi) \cdot \xi + 2\mu_c \text{skew}(\widehat{u} \otimes \xi) \cdot \xi + \lambda_e \langle \widehat{u}, \xi \rangle \xi) \\ + i k (2\mu_e \text{sym} \widehat{P} \cdot \xi + 2\mu_c \text{skew} \widehat{P} \cdot \xi + \lambda_e \text{tr} \widehat{P} \xi) = 0, \\ -\eta \omega^2 \widehat{P} - \mu_e L_c^2 k^2 \widehat{P} \text{anti}(\xi) \cdot \text{anti}(\xi) + 2(\mu_e + \mu_{\text{micro}}) \text{sym} \widehat{P} + 2\mu_c \text{skew} \widehat{P} + (\lambda_e + \lambda_{\text{micro}}) \text{tr}(\widehat{P}) \mathbf{1} \\ - 2\mu_e i k \text{sym}(\widehat{u} \otimes \xi) - 2\mu_c i k \text{skew}(\widehat{u} \otimes \xi) - \lambda_e i k \langle \widehat{u}, \xi \rangle \mathbf{1} = 0. \end{aligned} \quad (111)$$

At given  $\xi \in \mathbb{R}^3$ , this is a linear system in  $(\widehat{u}, \widehat{P}) \in \mathbb{C}^{12}$  which can be written in  $12 \times 12$  matrix format as:

$$\begin{pmatrix} \widetilde{A}(\xi, \omega, k) \end{pmatrix} \begin{pmatrix} \widehat{u}_1 \\ \widehat{u}_2 \\ \widehat{u}_3 \\ \widehat{P}_{11} \\ \widehat{P}_{12} \\ \widehat{P}_{13} \\ \widehat{P}_{21} \\ \widehat{P}_{22} \\ \widehat{P}_{23} \\ \widehat{P}_{31} \\ \widehat{P}_{32} \\ \widehat{P}_{33} \end{pmatrix} = 0, \quad \begin{pmatrix} \widetilde{B}(\xi, k) - \omega^2 \mathbf{1} \end{pmatrix} \begin{pmatrix} \widehat{u}_1 \\ \widehat{u}_2 \\ \widehat{u}_3 \\ \widehat{P}_{11} \\ \widehat{P}_{12} \\ \widehat{P}_{13} \\ \widehat{P}_{21} \\ \widehat{P}_{22} \\ \widehat{P}_{23} \\ \widehat{P}_{31} \\ \widehat{P}_{32} \\ \widehat{P}_{33} \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}. \quad (112)$$

Here,  $\tilde{\mathcal{B}}(\xi, k)$  is the  $12 \times 12$  acoustic tensor. The columns of  $\tilde{\mathcal{A}}$  are:

$$\begin{aligned}
\tilde{A}_{i1} &= \begin{pmatrix} \rho\omega^2 - k^2(\lambda_e + 2\mu_e)\xi_1^2 - k^2(\mu_c + \mu_e)(\xi_2^2 + \xi_3^2) \\ -k^2(\lambda_e - \mu_c + \mu_e)\xi_1\xi_2 \\ -k^2(\lambda_e - \mu_c + \mu_e)\xi_1\xi_3 \\ ik(\lambda_e + 2\mu_e)\xi_1 \\ ik(\mu_c + \mu_e)\xi_2 \\ ik(\mu_c + \mu_e)\xi_3 \\ -ik(\mu_c - \mu_e)\xi_2 \\ ik\lambda_e\xi_1 \\ 0 \\ -ik(\mu_c - \mu_e)\xi_3 \\ 0 \\ ik\lambda_e\xi_1 \end{pmatrix}, & \tilde{A}_{i2} &= \begin{pmatrix} -k^2(\lambda_e - \mu_c + \mu_e)\xi_1\xi_2 \\ \rho\omega^2 - k^2(\lambda_e + 2\mu_e)\xi_2^2 - k^2(\mu_c + \mu_e)(\xi_1^2 + \xi_3^2) \\ -k^2(\lambda_e - \mu_c + \mu_e)\xi_2\xi_3 \\ ik\lambda_e\xi_2 \\ -ik(\mu_c - \mu_e)\xi_1 \\ 0 \\ ik(\mu_c + \mu_e)\xi_1 \\ ik(\lambda_e + 2\mu_e)\xi_2 \\ ik(\mu_c + \mu_e)\xi_3 \\ 0 \\ -ik(\mu_c - \mu_e)\xi_3 \\ ik\lambda_e\xi_2 \end{pmatrix}, \\
\tilde{A}_{i3} &= \begin{pmatrix} -k^2(\lambda_e - \mu_c + \mu_e)\xi_1\xi_3 \\ -k^2(\lambda_e - \mu_c + \mu_e)\xi_2\xi_3 \\ \rho\omega^2 - k^2(\lambda_e + 2\mu_e)\xi_3^2 - k^2(\mu_c + \mu_e)(\xi_1^2 + \xi_2^2) \\ ik\lambda_e\xi_3 \\ 0 \\ -ik(\mu_c - \mu_e)\xi_1 \\ 0 \\ ik\lambda_e\xi_3 \\ -ik(\mu_c - \mu_e)\xi_2 \\ ik(\mu_c + \mu_e)\xi_1 \\ ik(\mu_c + \mu_e)\xi_2 \\ ik(\lambda_e + 2\mu_e)\xi_3 \end{pmatrix}, & \tilde{A}_{i4} &= \begin{pmatrix} -ik(\lambda_e + 2\mu_e)\xi_1 \\ -ik\lambda_e\xi_2 \\ -ik\lambda_e\xi_3 \\ \eta\omega^2 - (2(\mu_e + \mu_{\text{micro}}) + \lambda_e + \lambda_{\text{micro}}) - k^2\mu_e L_c^2(\xi_2^2 + \xi_3^2) \\ k^2\mu_e L_c^2\xi_1\xi_2 \\ k^2\mu_e L_c^2\xi_1\xi_3 \\ 0 \\ -(\lambda_e + \lambda_{\text{micro}}) \\ 0 \\ 0 \\ 0 \\ -(\lambda_e + \lambda_{\text{micro}}) \end{pmatrix}, \\
\tilde{A}_{i5} &= \begin{pmatrix} -ik(\mu_c + \mu_e)\xi_2 \\ ik(\mu_c - \mu_e)\xi_1 \\ 0 \\ k^2\mu_e L_c^2\xi_1\xi_2 \\ \eta\omega^2 - (\mu_c + \mu_e + \mu_{\text{micro}}) - k^2\mu_e L_c^2(\xi_1^2 + \xi_3^2) \\ k^2\mu_e L_c^2\xi_1\xi_2 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}, & \tilde{A}_{i6} &= \begin{pmatrix} -ik(\mu_c + \mu_e)\xi_3 \\ 0 \\ ik(\mu_c - \mu_e)\xi_1 \\ k^2\mu_e L_c^2\xi_1\xi_3 \\ k^2\mu_e L_c^2\xi_2\xi_3 \\ \eta\omega^2 - (\mu_c + \mu_e + \mu_{\text{micro}}) - k^2\mu_e L_c^2(\xi_1^2 + \xi_2^2) \\ 0 \\ 0 \\ 0 \\ \mu_c - \mu_e - \mu_{\text{micro}} \\ 0 \\ 0 \end{pmatrix}, \\
\tilde{A}_{i7} &= \begin{pmatrix} ik(\mu_c - \mu_e)\xi_2 \\ -ik(\mu_c + \mu_e)\xi_1 \\ 0 \\ 0 \\ \mu_c - \mu_e - \mu_{\text{micro}} \\ 0 \\ \eta\omega^2 - (\mu_c + \mu_e + \mu_{\text{micro}}) - k^2\mu_e L_c^2(\xi_2^2 + \xi_3^2) \\ k^2\mu_e L_c^2\xi_1\xi_2 \\ k^2\mu_e L_c^2\xi_1\xi_3 \\ 0 \\ 0 \\ 0 \end{pmatrix}, & \tilde{A}_{i8} &= \begin{pmatrix} -ik\lambda_e\xi_1 \\ -ik(2\mu_e + \lambda_e)\xi_2 \\ -ik\lambda_e\xi_3 \\ -\lambda_e - \lambda_{\text{micro}} \\ 0 \\ 0 \\ \eta\omega^2 - (\mu_c + \mu_e + \mu_{\text{micro}}) - k^2\mu_e L_c^2(\xi_1^2 + \xi_3^2) \\ k^2\mu_e L_c^2\xi_1\xi_2 \\ k^2\mu_e L_c^2\xi_2\xi_3 \\ 0 \\ 0 \\ -\lambda_e - \lambda_{\text{micro}} \end{pmatrix}, \\
\tilde{A}_{i9} &= \begin{pmatrix} 0 \\ -ik(\mu_c + \mu_e)\xi_3 \\ ik(\mu_c + \mu_e)\xi_2 \\ 0 \\ 0 \\ 0 \\ \eta\omega^2 - (\mu_c + \mu_e + \mu_{\text{micro}}) - k^2\mu_e L_c^2(\xi_1^2 + \xi_2^2) \\ k^2\mu_e L_c^2\xi_1\xi_3 \\ k^2\mu_e L_c^2\xi_2\xi_3 \\ 0 \\ \mu_c - \mu_e - \mu_{\text{micro}} \\ 0 \end{pmatrix}, & \tilde{A}_{i10} &= \begin{pmatrix} ik(\mu_c - \mu_e)\xi_3 \\ 0 \\ -ik(\mu_c + \mu_e)\xi_1 \\ 0 \\ 0 \\ \mu_c - \mu_e - \mu_{\text{micro}} \\ 0 \\ 0 \\ \eta\omega^2 - (\mu_c + \mu_e + \mu_{\text{micro}}) - k^2\mu_e L_c^2(\xi_2^2 + \xi_3^2) \\ k^2\mu_e L_c^2\xi_1\xi_2 \\ k^2\mu_e L_c^2\xi_1\xi_3 \end{pmatrix},
\end{aligned}$$

$$\tilde{A}_{i11} = \begin{pmatrix} 0 \\ ik(\mu_c - \mu_e)\xi_3 \\ -ik(\mu_c + \mu_e)\xi_2 \\ 0 \\ 0 \\ 0 \\ 0 \\ \mu_c - \mu_e - \mu_{\text{micro}} \\ k^2 \mu_e L_c^2 \xi_1 \xi_2 \\ \eta \omega^2 - (\mu_c + \mu_e + \mu_{\text{micro}}) - k^2 \mu_e L_c^2 (\xi_1^2 + \xi_3^2) \\ k^2 \mu_e L_c^2 \xi_2 \xi_3 \end{pmatrix}, \quad \tilde{A}_{i12} = \begin{pmatrix} -ik\lambda_e \xi_1 \\ -ik\lambda_e \xi_2 \\ -ik(\lambda_e + 2\mu_e)\xi_3 \\ -\lambda_e - \lambda_{\text{micro}} \\ 0 \\ 0 \\ 0 \\ -\lambda_e - \lambda_{\text{micro}} \\ 0 \\ k^2 \mu_e L_c^2 \xi_1 \xi_3 \\ k^2 \mu_e L_c^2 \xi_2 \xi_3 \\ \eta \omega^2 - (\mu_c + \mu_e + \mu_{\text{micro}}) - k^2 \mu_e L_c^2 (\xi_1^2 + \xi_2^2) \end{pmatrix}.$$

It is clear that even with the aid of up-to-date computer algebra systems, it is practically impossible to determine positive-definiteness of the  $12 \times 12$  acoustic tensor  $\tilde{\mathcal{B}}$  in dependence of the given material parameters. In the main body of our paper we succeed by choosing immediately the propagation direction  $\xi = e_1$  and by considering a set of new variables (14). This allows us to obtain a certain pre-factorization of  $\tilde{\mathcal{B}}(e_1, k)$  in  $3 \times 3$  blocks. Since the formulation is isotropic, choosing  $\xi = e_1$  is no restriction, as argued before.