

# The $\Gamma$ -limit of a finite-strain Cosserat model for asymptotically thin domains and a consequence for the Cosserat couple modulus $\mu_c$

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We study the behaviour of a geometrically exact 3D Cosserat continuum model for an asymptotically flat domain. Despite the inherent nonlinearity, the  $\Gamma$ -limit of a corresponding canonically rescaled problem on a domain with constant thickness can be explicitly calculated. This "membrane" limit exhibits no bending contributions scaling with  $h^3$  (similar to classical approaches) but features a transverse shear resistance scaling with  $h$  for strictly positive Cosserat couple modulus  $\mu_c > 0$ . This result is physically unacceptable for a zero-thickness "membrane" limit model. Therefore it is suggested that the physically consistent value of the Cosserat couple modulus  $\mu_c$  is zero. In this case, however, the  $\Gamma$ -limit loses coercivity for the midsurface deformation in  $H^{1,2}(\omega, \mathbb{R}^3)$ . For numerical purposes then, a transverse shear resistance can be reintroduced, establishing coercivity.

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## 1 The finite-strain 3D-Cosserat model in variational form

We consider a fully frame-indifferent finite-strain Cosserat [2] formulation on an asymptotically thin domain  $\Omega_h = \omega \times [-\frac{h}{2}, \frac{h}{2}]$ , where  $h > 0$  is the characteristic thickness and  $\omega \subset \mathbb{R}^2$  is the referential midsurface. The *two-field* Cosserat problem will be introduced in a variational setting. The task is to find a pair  $(\varphi, \bar{R}) : \Omega_h \subset \mathbb{R}^3 \mapsto \mathbb{R}^3 \times \text{SO}(3, \mathbb{R})$  of *deformation*  $\varphi$  and *independent microrotation*  $\bar{R}$  minimizing the energy functional  $I$ ,

$$\begin{aligned}
 I(\varphi, \bar{R}) &= \int_{\Omega_h} W(\bar{U}) + \mu L_c^p \|D_x \bar{R}\|^p \, dV \mapsto \min . \text{ w.r.t. } (\varphi, \bar{R}), \quad \varphi|_{\Gamma_h} = g_d, \quad \bar{R}|_{\Gamma_h} \text{ free}, \\
 W(\bar{U}) &= \mu \|\text{sym}(\bar{U} - \mathbb{1})\|^2 + \frac{\lambda}{2} \text{tr} [\text{sym}(\bar{U} - \mathbb{1})]^2 + \mu_c \|\text{skew}(\bar{U} - \mathbb{1})\|^2, \\
 \bar{U} &= \bar{R}^T \nabla \varphi, \quad \text{non-symmetric Cosserat stretch tensor}, \\
 D_x \bar{R} &:= (\nabla(\bar{R}.e_1) | \nabla(\bar{R}.e_2) | \nabla(\bar{R}.e_3)), \quad \Gamma_h = \gamma_0 \times [-\frac{h}{2}, \frac{h}{2}],
 \end{aligned} \tag{1.1}$$

with Dirichlet boundary condition of place for the deformation  $\varphi$  on a part of the lateral boundary  $\Gamma_h$  with  $\gamma_0 : \mathbb{R} \mapsto \partial\omega \subset \mathbb{R}^2$  and everywhere Neumann conditions on the Cosserat rotations  $\bar{R}$ . The parameters  $\mu, \lambda > 0$  are the classical Lamé constants of isotropic elasticity, the additional parameter  $\mu_c \geq 0$  is called the *Cosserat couple modulus*, whose *value is controversial*. The parameter  $L_c > 0$  (with dimension length) introduces an *internal length* which is *characteristic* for the material, e.g. related to the grain size in a polycrystal. The internal length  $L_c > 0$  is responsible for *size effects* in the sense that smaller samples are relatively stiffer than larger samples.

In this setting, the variational problem (1.1) admits minimizers for any given thickness  $h > 0$  and for all  $\infty \geq \mu_c \geq 0$  ( $\mu_c = \infty$  formally implies a symmetry constraint). For more information and mathematical existence results concerning this Cosserat bulk model we refer to [7, 6, 4, 9]. In the following, we are interested in characterizing the behaviour of minimizers to (1.1) as  $h \rightarrow 0$ .

## 2 The rescaled Cosserat model

In order to do so, it is customary to consider a corresponding *rescaled problem*, i.e. transforming the problem (1.1) on a domain with constant thickness. This is achieved by letting  $\Omega_1 = \omega \times [-\frac{1}{2}, \frac{1}{2}]$  and defining the rescaled deformations and rotations by  $\varphi^\sharp(x, y, z) := \varphi(x, y, h z)$ ,  $\bar{R}^\sharp(x, y, z) := \bar{R}(x, y, h z)$ . The rescaled variational problem reads then

$$\begin{aligned}
 I^\sharp(\varphi^\sharp, \bar{R}^\sharp) &= h \int_{\Omega_1} W(\bar{U}_h^\sharp) + \mu L_c^p \|D_x^h \bar{R}^\sharp\|^p \, dV \mapsto \min . \text{ w.r.t. } (\varphi^\sharp, \bar{R}^\sharp), \quad \varphi^\sharp|_{\Gamma_1} = g_d^\sharp, \quad \bar{R}^\sharp|_{\Gamma_1} \text{ free}, \\
 \bar{U}_h^\sharp &:= \bar{R}^{\sharp,T} \nabla^h \varphi^\sharp, \quad \nabla^h \varphi^\sharp := (\partial_x \varphi^\sharp | \partial_y \varphi^\sharp | \frac{1}{h} \partial_z \varphi^\sharp) \quad (= \nabla \varphi), \\
 D_x^h \bar{R}^\sharp &:= (\nabla^h(\bar{R}^\sharp.e_1) | \nabla^h(\bar{R}^\sharp.e_2) | \nabla^h(\bar{R}^\sharp.e_3)), \quad \Gamma_1 = \gamma_0 \times [-\frac{1}{2}, \frac{1}{2}]
 \end{aligned} \tag{2.1}$$

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and we consider the *sequence of variational problems*  $I_h^\sharp(\varphi^\sharp, \bar{R}^\sharp) := \frac{1}{h} I^\sharp(\varphi^\sharp, \bar{R}^\sharp)$ .

### 3 The $\Gamma$ -limit Cosserat "membrane" model

We define the metric space  $X = L^r(\Omega_1, \mathbb{R}^3) \times L^p(\Omega_1, \text{SO}(3, \mathbb{R}))$ ,  $r = p' = \frac{2p}{p-2}$ ,  $p > 3$  and note the compact embeddings  $H^{1,2}(\Omega_1, \mathbb{R}^3) \subset L^r(\Omega_1, \mathbb{R}^3)$ ,  $W^{1,p}(\Omega_1, \text{SO}(3, \mathbb{R})) \subset L^p(\Omega_1, \text{SO}(3, \mathbb{R}))$ . The following result has been obtained in [8]. The  $\Gamma$ -limit [3, 1] to the sequence  $I_h^\sharp(\varphi^\sharp, \bar{R}^\sharp) : X \mapsto \mathbb{R}^+$  is given by the variational problem (after de-scaling) for the *midsurface deformation*  $m : \omega \subset \mathbb{R}^2 \mapsto \mathbb{R}^3$  and the *independent microrotation* of the plate  $\bar{R} : \omega \subset \mathbb{R}^2 \mapsto \text{SO}(3, \mathbb{R})$ :

$$\begin{aligned} I_0(m, \bar{R}) &= \int_{\omega} h W^{\text{hom}}(\nabla m, \bar{R}) + h \mu L_c^p \|\mathfrak{K}_s\|^p d\omega \mapsto \min . \text{ w.r.t. } (m, \bar{R}), \\ m|_{\gamma_0} &= g_d(x, y, 0) \quad \text{simply supported,} \quad \bar{R}|_{\gamma_0} \quad \text{free,} \\ W^{\text{hom}}(\nabla m, \bar{R}) &= \mu \underbrace{\|\text{sym}((\bar{R}_1 | \bar{R}_2)^T \nabla m - \mathbb{I}_2)\|^2}_{\text{"intrinsic" shear-stretch energy}} + \mu_c \underbrace{\|\text{skew}((\bar{R}_1 | \bar{R}_2)^T \nabla m - \mathbb{I}_2)\|^2}_{\text{"intrinsic" first order drill energy}} \\ &\quad + 2\mu \frac{\mu_c}{\mu + \mu_c} \underbrace{\left( \langle \bar{R}_3, m_x \rangle^2 + \langle \bar{R}_3, m_y \rangle^2 \right)}_{\text{homogenized transverse shear energy}} + \frac{\mu\lambda}{2\mu + \lambda} \underbrace{\text{tr} [\text{sym}((\bar{R}_1 | \bar{R}_2)^T \nabla m - \mathbb{I}_2)]^2}_{\text{homogenized elongational stretch energy}}, \\ \mathfrak{K}_s &= ((\nabla(\bar{R}.e_1)|0), (\nabla(\bar{R}.e_2)|0), (\nabla(\bar{R}.e_3)|0)) \quad \text{reduced third order curvature tensor,} \end{aligned} \quad (3.1)$$

where we set  $\bar{R}_i = \bar{R}.e_i$ . Note that  $\frac{2\mu\mu_c}{\mu+\mu_c} = \mathcal{H}(\mu, \mu_c)$ ,  $\frac{\mu\lambda}{2\mu+\lambda} = 1/2 \mathcal{H}(\mu, \lambda/2)$ , where  $\mathcal{H}$  denotes the *harmonic mean*. This variational limit formulation loses coercivity for the midsurface deformation  $m \in H^{1,2}(\omega, \mathbb{R}^3)$  if  $\mu_c = 0$ . However, this loss of coercivity is not related to the missing drill-energy contribution but only due to the missing transverse shear term in that case. The proof of this  $\Gamma$ -limit result is first obtained for  $\mu_c > 0$  (in which case equicoercivity for the sequence  $I_h^\sharp$  over  $X$  greatly facilitates the task) and thereafter it is shown, that the result remains true also for  $\mu_c = 0$  where, however, one is faced with an unusual loss of equicoercivity of this sequence. For dimensionally reduced Cosserat models based on a formal ansatz we refer to [5] and references therein.

### 4 A surprising consequence for the Cosserat couple modulus $\mu_c$

The  $\Gamma$ -limit describes rigorously the limit of zero-thickness, hence a two-dimensional object. Such a "membrane"-model should neither have bending-resistance (scaling with  $h^3$ ) nor transverse shear resistance, since both effects can only be explained by some remaining small (but finite) thickness. The  $\Gamma$ -limit does not have a bending resistance. The resistance  $\tau$  against transverse shearing is, however, proportional to  $\tau \sim 2\mu \frac{\mu_c}{\mu+\mu_c} (\langle \bar{R}_3, m_x \rangle + \langle \bar{R}_3, m_y \rangle)$ . This strongly suggests that  $\mu_c \equiv 0$  is the physically consistent value, thus providing us with an answer to the controversy about the value of  $\mu_c$ . From a practical point of view, for the computation of thin structures with a remaining finite thickness  $h > 0$ , one should use the Cosserat  $\Gamma$ -limit model (3.1) with  $\mu_c = 0$  but augment the stretch energy expression  $W^{\text{hom}}$  exclusively with some transverse shear contribution. This will restore coercivity for  $m \in H^{1,2}(\omega, \mathbb{R}^3)$  and lead to stable computations.

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