

The Γ -limit of a finite-strain Cosserat model for asymptotically thin domains and a consequence for the Cosserat couple modulus μ_c

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We study the behaviour of a geometrically exact 3D Cosserat continuum model for an asymptotically flat domain. Despite the inherent nonlinearity, the Γ -limit of a corresponding canonically rescaled problem on a domain with constant thickness can be explicitly calculated. This "membrane" limit exhibits no bending contributions scaling with h^3 (similar to classical approaches) but features a transverse shear resistance scaling with h for strictly positive Cosserat couple modulus $\mu_c > 0$. This result is physically unacceptable for a zero-thickness "membrane" limit model. Therefore it is suggested that the physically consistent value of the Cosserat couple modulus μ_c is zero. In this case, however, the Γ -limit loses coercivity for the midsurface deformation in $H^{1,2}(\omega, \mathbb{R}^3)$. For numerical purposes then, a transverse shear resistance can be reintroduced, establishing coercivity.

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1 The finite-strain 3D-Cosserat model in variational form

We consider a fully frame-indifferent finite-strain Cosserat [2] formulation on an asymptotically thin domain $\Omega_h = \omega \times [-\frac{h}{2}, \frac{h}{2}]$, where $h > 0$ is the characteristic thickness and $\omega \subset \mathbb{R}^2$ is the referential midsurface. The *two-field* Cosserat problem will be introduced in a variational setting. The task is to find a pair $(\varphi, \bar{R}) : \Omega_h \subset \mathbb{R}^3 \mapsto \mathbb{R}^3 \times \text{SO}(3, \mathbb{R})$ of *deformation* φ and *independent microrotation* \bar{R} minimizing the energy functional I ,

$$\begin{aligned}
 I(\varphi, \bar{R}) &= \int_{\Omega_h} W(\bar{U}) + \mu L_c^p \|D_x \bar{R}\|^p \, dV \mapsto \min \text{ w.r.t. } (\varphi, \bar{R}), \quad \varphi|_{\Gamma_h} = g_d, \quad \bar{R}|_{\Gamma_h} \text{ free}, \\
 W(\bar{U}) &= \mu \|\text{sym}(\bar{U} - \mathbb{1})\|^2 + \frac{\lambda}{2} \text{tr} [\text{sym}(\bar{U} - \mathbb{1})]^2 + \mu_c \|\text{skew}(\bar{U} - \mathbb{1})\|^2, \\
 \bar{U} &= \bar{R}^T \nabla \varphi, \quad \text{non-symmetric Cosserat stretch tensor}, \\
 D_x \bar{R} &:= (\nabla(\bar{R}.e_1) | \nabla(\bar{R}.e_2) | \nabla(\bar{R}.e_3)), \quad \Gamma_h = \gamma_0 \times [-\frac{h}{2}, \frac{h}{2}],
 \end{aligned} \tag{1.1}$$

with Dirichlet boundary condition of place for the deformation φ on a part of the lateral boundary Γ_h with $\gamma_0 : \mathbb{R} \mapsto \partial\omega \subset \mathbb{R}^2$ and everywhere Neumann conditions on the Cosserat rotations \bar{R} . The parameters $\mu, \lambda > 0$ are the classical Lamé constants of isotropic elasticity, the additional parameter $\mu_c \geq 0$ is called the *Cosserat couple modulus*, whose *value is controversial*. The parameter $L_c > 0$ (with dimension length) introduces an *internal length* which is *characteristic* for the material, e.g. related to the grain size in a polycrystal. The internal length $L_c > 0$ is responsible for *size effects* in the sense that smaller samples are relatively stiffer than larger samples.

In this setting, the variational problem (1.1) admits minimizers for any given thickness $h > 0$ and for all $\infty \geq \mu_c \geq 0$ ($\mu_c = \infty$ formally implies a symmetry constraint). For more information and mathematical existence results concerning this Cosserat bulk model we refer to [7, 6, 4, 9]. In the following, we are interested in characterizing the behaviour of minimizers to (1.1) as $h \rightarrow 0$.

2 The rescaled Cosserat model

In order to do so, it is customary to consider a corresponding *rescaled problem*, i.e. transforming the problem (1.1) on a domain with constant thickness. This is achieved by letting $\Omega_1 = \omega \times [-\frac{1}{2}, \frac{1}{2}]$ and defining the rescaled deformations and rotations by $\varphi^\sharp(x, y, z) := \varphi(x, y, h z)$, $\bar{R}^\sharp(x, y, z) := \bar{R}(x, y, h z)$. The rescaled variational problem reads then

$$\begin{aligned}
 I^\sharp(\varphi^\sharp, \bar{R}^\sharp) &= h \int_{\Omega_1} W(\bar{U}_h^\sharp) + \mu L_c^p \|D_x^h \bar{R}^\sharp\|^p \, dV \mapsto \min \text{ w.r.t. } (\varphi^\sharp, \bar{R}^\sharp), \quad \varphi^\sharp|_{\Gamma_1} = g_d^\sharp, \quad \bar{R}^\sharp|_{\Gamma_1} \text{ free}, \\
 \bar{U}_h^\sharp &:= \bar{R}^{\sharp,T} \nabla^h \varphi^\sharp, \quad \nabla^h \varphi^\sharp := (\partial_x \varphi^\sharp | \partial_y \varphi^\sharp | \frac{1}{h} \partial_z \varphi^\sharp) \quad (= \nabla \varphi), \\
 D_x^h \bar{R}^\sharp &:= (\nabla^h(\bar{R}^\sharp.e_1) | \nabla^h(\bar{R}^\sharp.e_2) | \nabla^h(\bar{R}^\sharp.e_3)), \quad \Gamma_1 = \gamma_0 \times [-\frac{1}{2}, \frac{1}{2}]
 \end{aligned} \tag{2.1}$$

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and we consider the *sequence of variational problems* $I_h^\sharp(\varphi^\sharp, \bar{R}^\sharp) := \frac{1}{h} I^\sharp(\varphi^\sharp, \bar{R}^\sharp)$.

3 The Γ -limit Cosserat "membrane" model

We define the metric space $X = L^r(\Omega_1, \mathbb{R}^3) \times L^p(\Omega_1, \text{SO}(3, \mathbb{R}))$, $r = p' = \frac{2p}{p-2}$, $p > 3$ and note the compact embeddings $H^{1,2}(\Omega_1, \mathbb{R}^3) \subset L^r(\Omega_1, \mathbb{R}^3)$, $W^{1,p}(\Omega_1, \text{SO}(3, \mathbb{R})) \subset L^p(\Omega_1, \text{SO}(3, \mathbb{R}))$. The following result has been obtained in [8]. The Γ -limit [3, 1] to the sequence $I_h^\sharp(\varphi^\sharp, \bar{R}^\sharp) : X \mapsto \mathbb{R}^+$ is given by the variational problem (after de-scaling) for the *midsurface deformation* $m : \omega \subset \mathbb{R}^2 \mapsto \mathbb{R}^3$ and the *independent microrotation* of the plate $\bar{R} : \omega \subset \mathbb{R}^2 \mapsto \text{SO}(3, \mathbb{R})$:

$$\begin{aligned} I_0(m, \bar{R}) &= \int_{\omega} h W^{\text{hom}}(\nabla m, \bar{R}) + h \mu L_c^p \|\mathfrak{K}_s\|^p d\omega \mapsto \min . \text{ w.r.t. } (m, \bar{R}), \\ m|_{\gamma_0} &= g_d(x, y, 0) \quad \text{simply supported,} \quad \bar{R}|_{\gamma_0} \quad \text{free,} \\ W^{\text{hom}}(\nabla m, \bar{R}) &= \mu \underbrace{\|\text{sym}((\bar{R}_1 | \bar{R}_2)^T \nabla m - \mathbb{I}_2)\|^2}_{\text{"intrinsic" shear-stretch energy}} + \mu_c \underbrace{\|\text{skew}((\bar{R}_1 | \bar{R}_2)^T \nabla m - \mathbb{I}_2)\|^2}_{\text{"intrinsic" first order drill energy}} \\ &\quad + 2\mu \frac{\mu_c}{\mu + \mu_c} \underbrace{\left(\langle \bar{R}_3, m_x \rangle^2 + \langle \bar{R}_3, m_y \rangle^2 \right)}_{\text{homogenized transverse shear energy}} + \frac{\mu\lambda}{2\mu + \lambda} \underbrace{\text{tr} [\text{sym}((\bar{R}_1 | \bar{R}_2)^T \nabla m - \mathbb{I}_2)]^2}_{\text{homogenized elongational stretch energy}}, \\ \mathfrak{K}_s &= ((\nabla(\bar{R}.e_1)|0), (\nabla(\bar{R}.e_2)|0), (\nabla(\bar{R}.e_3)|0)) \quad \text{reduced third order curvature tensor,} \end{aligned} \quad (3.1)$$

where we set $\bar{R}_i = \bar{R}.e_i$. Note that $\frac{2\mu\mu_c}{\mu+\mu_c} = \mathcal{H}(\mu, \mu_c)$, $\frac{\mu\lambda}{2\mu+\lambda} = 1/2 \mathcal{H}(\mu, \lambda/2)$, where \mathcal{H} denotes the *harmonic mean*. This variational limit formulation loses coercivity for the midsurface deformation $m \in H^{1,2}(\omega, \mathbb{R}^3)$ if $\mu_c = 0$. However, this loss of coercivity is not related to the missing drill-energy contribution but only due to the missing transverse shear term in that case. The proof of this Γ -limit result is first obtained for $\mu_c > 0$ (in which case equicoercivity for the sequence I_h^\sharp over X greatly facilitates the task) and thereafter it is shown, that the result remains true also for $\mu_c = 0$ where, however, one is faced with an unusual loss of equicoercivity of this sequence. For dimensionally reduced Cosserat models based on a formal ansatz we refer to [5] and references therein.

4 A surprising consequence for the Cosserat couple modulus μ_c

The Γ -limit describes rigorously the limit of zero-thickness, hence a two-dimensional object. Such a "membrane"-model should neither have bending-resistance (scaling with h^3) nor transverse shear resistance, since both effects can only be explained by some remaining small (but finite) thickness. The Γ -limit does not have a bending resistance. The resistance τ against transverse shearing is, however, proportional to $\tau \sim 2\mu \frac{\mu_c}{\mu+\mu_c} (\langle \bar{R}_3, m_x \rangle + \langle \bar{R}_3, m_y \rangle)$. This strongly suggests that $\mu_c \equiv 0$ is the physically consistent value, thus providing us with an answer to the controversy about the value of μ_c . From a practical point of view, for the computation of thin structures with a remaining finite thickness $h > 0$, one should use the Cosserat Γ -limit model (3.1) with $\mu_c = 0$ but augment the stretch energy expression W^{hom} exclusively with some transverse shear contribution. This will restore coercivity for $m \in H^{1,2}(\omega, \mathbb{R}^3)$ and lead to stable computations.

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