

## Exp. B 10: Experiment with X-Rays

### 1. Literature

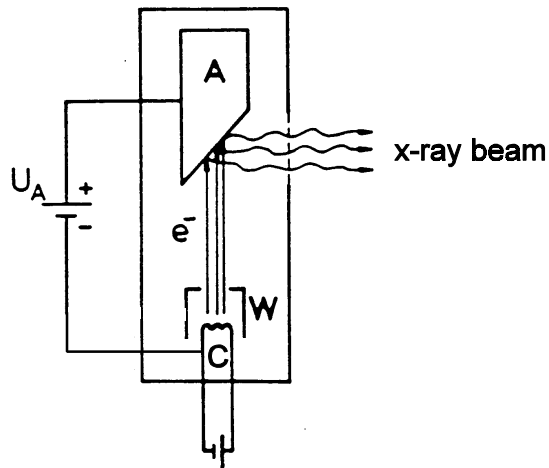
**Keywords:** Generation of x-rays, continuous x-ray spectrum, Bohr's model of the atom, Term scheme of atoms, characteristic spectrum, Moseley's law, absorption spectrum, crystal lattice, Bragg's law of reflection, detection of x-rays, x-ray counting tube

### 2. Basics

#### 2.1 Continuous X-Ray Spectrum

X-rays can be generated using an (evacuated) x-ray tube (see schematic view in Fig. 1). Free electrons are generated by thermionic emission from a hot cathode C, focussed with the aid of a *Wehnelt cylinder* W and accelerated towards an anode A by a high anode voltage  $U_A$ .

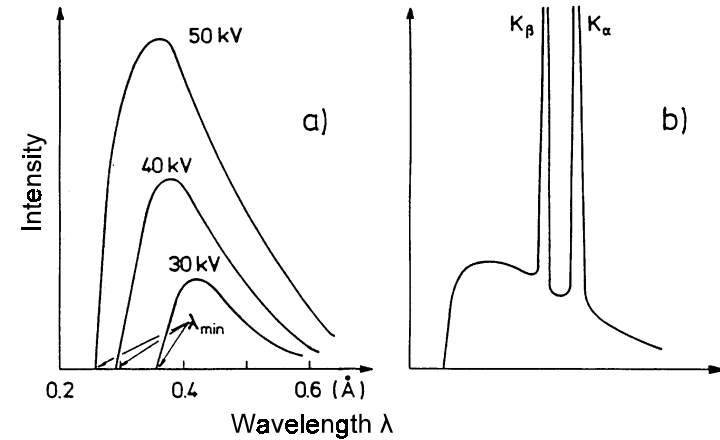
When the electrons reach the anode, most of them gradually lose their kinetic



**Fig. 1:**  
X-ray tube  
(schematic)

energy ( $\frac{1}{2}mv^2 = eU_A$ ) during many collisions with the atoms of the anode material (eg. Tungsten or Copper) due to the Coulomb interaction (what is this?). The main part of their kinetic energy is converted simply into heat (i.e. atomic vibrational energy of the anode material), the remaining (smaller) part is converted into electromagnetic radiation. Among the latter, x-rays are generated within a continuous spectrum, the so called *Bremsstrahlung* ("white x-ray light"), first

discovered by W. Röntgen (1895).



**Fig. 2:** a) continuous, b) continuous and characteristic x-ray spectrum (schematic)

Fig. 2 a shows the intensity of continuous x-ray spectrum vs. wavelength for various accelerating voltages. The spectral intensity is independent of the anode material and exhibits a short wave length cutoff  $\lambda_{\min}$ , where the intensity drops to zero. At the cutoff wavelength  $\lambda_{\min}$ , depending only on the accelerating voltage  $U_A$ , the kinetic energy of an electron is converted into radiation energy within a single process according to the following relation

$$\frac{1}{2}mv^2 = eU_A = h\nu_{\max} = \frac{hc}{\lambda_{\min}} \quad (1)$$

This means that the maximum frequency  $\nu_{\max}$  or the minimum wave length  $\lambda_{\min} = c/\nu_{\max}$  of the continuous x-ray spectrum for a certain voltage  $U_A$  are solely determined by the charge of the electron  $e$  and Planck's constant  $h$ .

For many technical purposes, as in the present experiment, the acceleration voltages  $U_A$  are in the range 20 to 50 kV; thus the wave lengths are (according eq. 1) in the range of less than  $1 \text{ \AA} (= 10^{-10} \text{ m} = 0.1 \text{ nm} = 100 \text{ pm})$ .

## 2.2 Characteristic Spectrum

The x-ray spectrum observed often exhibits in addition to the continuous spectrum shown in fig. 2a very high intensities at certain discrete wave lengths, which are characteristic for the atoms of the anode material (see eg. fig. 2b). These *spectral lines* are caused by energetic transitions of electrons across the different energetic states within the atoms of the anode material.

The physical origin of the characteristic x-ray spectrum is easily explained with the aid of Bohr's model of the atom (compare with Fig. 3). At the impact of the

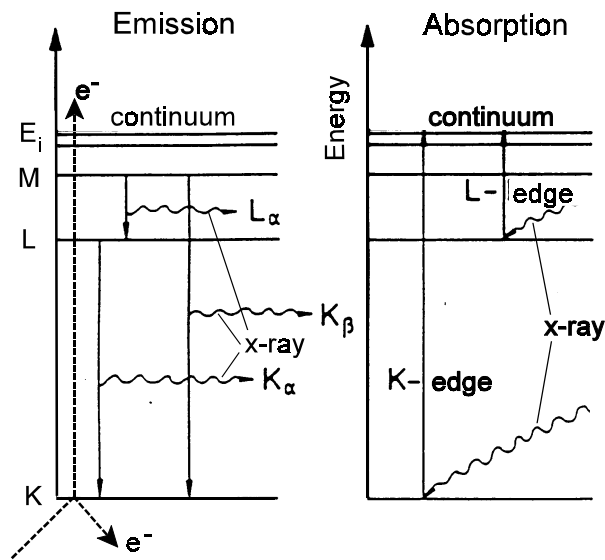


Fig. 3: term scheme with electronic transitions of the atom, left: at emission, right: at absorption of x-rays

accelerated electron with an atom of the anode material, another electron, e.g., from the (energetically lowest, inner) K-shell, is removed and struck into the high energy continuum of the metal. The remaining hole in the K-shell is filled up by an electron from, e.g., the (energetically next higher) L-shell. At this transition, a certain amount of energy  $\Delta E$  corresponding to the energy difference between the L- and the K-shell is released and emitted as electromagnetic radiation with the energy quantum  $\Delta E = h\nu = hc/\lambda$  (*x-ray photon*). At the transition from, e.g., L to

K, the so called  $K_\alpha$  x-ray line appears in the spectrum.

Transitions from the M-shell to the K-shell generate the  $K_\beta$  line, similarly the transitions from M to L generate the  $L_\alpha$  line, etc. The characteristic spectrum is thus generated according to the energy levels of the atoms of the anode material. Both frequency or wavelength of these x-ray lines depend on the square of the atomic number  $Z$  of the considered element. For the  $K_\alpha$  line the eq.

$$\nu_{K_\alpha} = \frac{3}{4} (Z - \sigma)^2 Ry, \quad \sigma = 1 \quad (\text{Moseley's Law}), \quad (2)$$

holds with the *Rydberg frequency*  $Ry = 3.29 \cdot 10^{15} \text{ s}^{-1}$ . The *screening constant*  $\sigma$  accounts for the screening of the charge  $+Ze$  of the nucleus by the remaining K-shell electron.

## 2.3 Absorption Spectrum

The weakening of x-rays in material is caused, first, by *classical* (elastic) *scattering* (changing the photon's momentum without changing its energy), second, by *Compton scattering* (partial transfer of photon energy to free or weakly bound electrons), and, third, by absorption. The capability of matter to absorb x-rays is described by the coefficient of absorption  $\tau_A$ . It strongly depends on the atomic number  $Z$  (number of atomic electrons) and on the wavelength  $\lambda$  of the radiation ( $\tau_A \sim Z^4 \lambda^3$ ). At the absorption of x-rays, the whole photon energy is transferred to inner shell electrons being excited into higher energy states. From Fig. 3, it is evident that at the absorption of x-rays, no characteristic absorption lines - like the emission lines - can be observed. Otherwise, this would mean that, e.g., for the  $K_\alpha$  line the excitation of one K-electron to the L-shell would be necessary. This shell and the other shells, M, N etc., are usually occupied. Therefore, only the excitation into states with  $E \geq E_i$  (ionization energy), into the so called *continuum* is possible, and an absorption spectrum, as shown schematically in Fig. 4, is observed. Besides the increase of  $\tau_A \sim \lambda^3$ , the spectrum exhibits K, L, M, .. absorption edges (corresponding the excitation energies of K, L, M, ..electrons) at certain wavelengths  $\lambda$  - characteristic for the absorbing material. The corresponding frequencies, e.g.,  $\nu_K$  for K-electron excitation, depend on the atomic number of the absorbing element similar to that given by eq. (2)

$$\nu_K = (Z - \sigma)^2 Ry, \quad \sigma \approx 1. \quad (3)$$

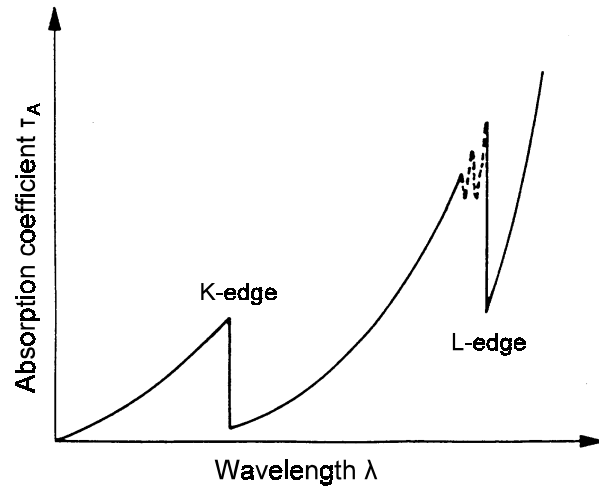


Fig. 4: X-ray absorption spectrum (schematic)

A suitable combination of anode material (in the present experiment copper,  $Z=29$ ) and absorbing filter material (here nickel,  $Z=28$ ) placed into the emitted x-ray beam, allows to obtain an x-ray emission spectrum (continuous and characteristic Cu spectrum), which is weakened very much at short wavelengths so that essentially only the Cu  $K_{\alpha}$  line remains, i.e., monochromatic x-rays with  $\lambda_{K_{\alpha}} = 1.54 \text{ \AA} = 154 \text{ pm}$ .

### 2.4 Detection of X-Rays

X-rays can be detected through the use of their ionizing effect. The intensity of the radiation can be measured, e.g., by measurement of the current pulses through ionization chambers or counting tubes, or by the determination of the blackening of photo plates. The functioning of a simple counting tube, the *Geiger-Müller-tube* (used in the present experiment), is illustrated schematically in Fig. 5:

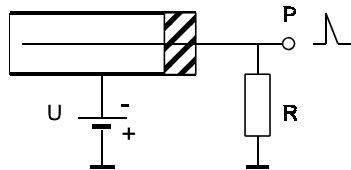


Fig. 5: Geiger-Müller tube

The tube consisting of a metal housing with a thin foil at one end is filled with inert gas (e.g. Argon) and some additional alcohol vapor. A thin steel wire (insulated from the housing) is stretched along the center of the tube and connected through a resistor  $R (>1M\Omega)$  to ground. The housing is negatively charged against the center wire by a high voltage,  $U \approx 500V$ . If the x-rays traverse the tube, they ionize single gas atoms, and the electrons are accelerated toward the center wire causing more ionization on their way resulting finally into a complete discharge of the tube (limited in time by the alcohol vapor). The discharge current across the resistor generates a voltage pulse at P which is counted.

### 2.5 Bragg Reflection of X-Rays

The wave nature of x-rays was first established in 1906 by Barkla, who was able to exhibit their polarization. In 1912 a method was devised to actually measure the wavelengths. Max v. Laue recognized that the wavelengths hypothesized for x-rays were about on the same order of magnitude as the spacing between adjacent atoms in crystals. He, therefore, used crystals to diffract x-rays with their regular lattices acting as a kind of a three dimensional grating. The diffraction of x-rays in crystals and their subsequent interference, characteristic for their wave nature, results in a diffraction pattern dependent on the x-ray wavelength and the spacing between the atoms. The regular arrays of atoms in crystals (in the present experiment NaCl, Sodium chloride with simple cubic symmetry) "reflect" x-rays with a single wavelength  $\lambda$  only at certain angles. Since the radiation penetrates the crystals, the "reflection" occurs at many atomic planes (selected families of atomic arrays) and actually depends on the distance  $d$  between the planes.

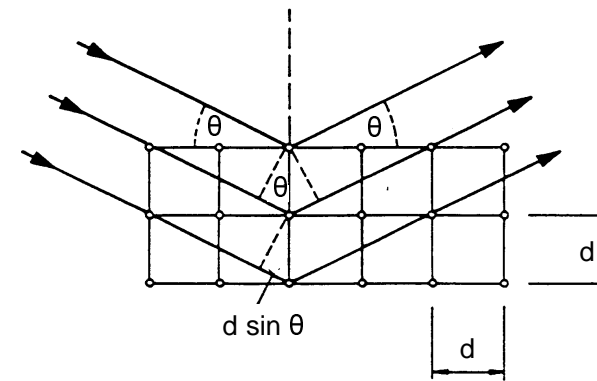


Fig. 6: Bragg reflection at a single crystal

This is illustrated schematically in Fig. 6. A monochromatic beam falls onto the parallel planes of atoms (separated by distance  $d$ ) with the angle  $\theta$  and is "reflected" at the same angle. Constructive interference of the reflected beam, i.e., the so called *Bragg reflection*, only takes place if the path difference between x-rays reflected at adjacent planes is  $\lambda, 2\lambda, 3\lambda\dots$ , i.e.,  $n\lambda$ , where  $n$  is an integer. According to the geometric situation sketched in Fig. 6, the condition for Bragg reflection is simply

$$n\lambda = 2d\sin\theta_n \quad (\text{Bragg's Law}) . \quad (4)$$

The "reflection" of radiation at atoms is actually a scattering and an interference process. The electronic shells ( $-Ze$ ) of every atom of the crystal subjected to the field of the electromagnetic radiation are forced to vibrate around the nuclei ( $+Ze$ ) and thereby emit radiation with the same frequency as the incoming radiation in almost all directions. This radiation is intensified by constructive interference at angles given according to eq. (4) and annihilated at other angles. Since the interference maxima, if plotted vs. reflection angle, are very sharp, one gets the impression that the radiation is reflected at certain angles  $\theta_1, \theta_2, \text{etc.}$

Using Bragg's law one can determine the spacing  $d$  of an unknown lattice if the wavelength  $\lambda$  of the monochromatic x-ray beam is known, or vice versa  $\lambda$ , if the

lattice spacing  $d$  of the crystal is known. The first condition is important for the determination of unknown crystal structures. Generally, the application of x-rays is of high technological importance in studying materials.

## 1. Assignment of tasks

**1.Task:** With the X-ray machine, the intensity (pulse rate  $R$  of the Geiger Müller counter tube) of x-rays reflected on a LiF single crystal has to be determined depending on the refraction angle  $\theta$  for five different anode voltages  $U_{AS}$ . The refraction angle has to be transformed into the wavelength  $\lambda$  by bragg's law taking into account the lattice distance of the Li F crystal ( $d_{LiF} = 201.4 \text{ pm}$ ).

From the curves  $R(\lambda)$ , the short-wave limit  $\lambda_{min}$  of the X-ray spectrum has to be determined graphically. With these values and the linear equation:

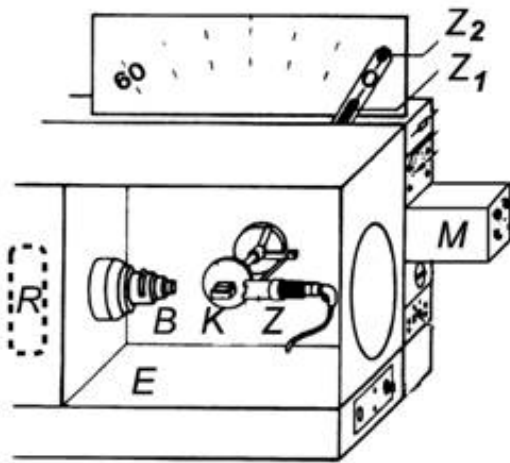
$$e U_{AS} = h \cdot f_{max}$$

the Planck constant  $h$  should be derived from the slope of the plotted equation.

**2.Task:** With the X-ray machine (Mo-Anode and included Zr-filter:  $\lambda_{Ka} = 71.1 \text{ pm}$ ) the Bragg angles have to be determined for a NaCl single crystal.

Calculate the lattice distance  $d$  of NaCl and compare it with the literature value  $d_{NaCl} = 282.5 \text{ pm}$ .

## 2. Experimental set-up



**Fig. 2.1:**

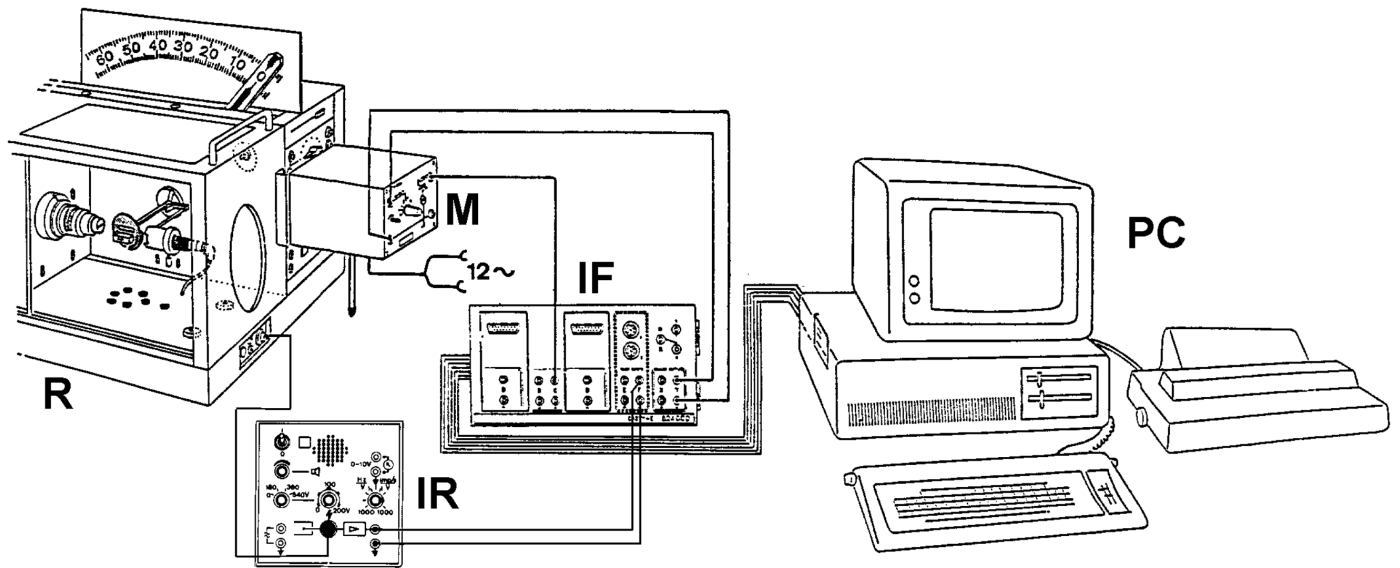
X-ray machine with X-ray tube (R), experimental chamber (E), aperture (B), crystal (K), counting tube (Z), pointer 1 ( $Z_1$ ), pointer 2 ( $Z_2$ ), motor drive (M)

Fig. 2.1 shows the X-ray machine used in the experiment, Fig. 2.2 shows the entire experimental setup schematically. The X-ray radiation generated by the Molybdenum X-ray tube enters the experimental chamber through an aperture (collimator) into which a filter (e.g. Zr-film) can be inserted. The filtered X-ray hit the crystal (LiF or NaCl), which is attached to a vertical axis that rotates to the beam direction. The angular position  $\theta$  of the crystal surface (=crystal planes) to the incident X-ray beam is indicated with a pointer  $Z_1$  on an angular scale. Around the same axis of rotation, the counting tube for the intensity measurement of the reflected X-ray radiation can be rotated, whose angular position  $2\theta$  to the incident X-ray beam is indicated with a second longer pointer  $Z_2$ .

Both angle positions are adjustable. Via a mechanical coupling it is ensured: when adjusting one the other is tracked accordingly. At the position  $2\theta = \theta = 0$ , both pointer  $Z_1$  and  $Z_2$  are on top of each other. Note that in this position there is no reflection of the crystal and the direct X-ray beam hits partially directly onto the Geiger-Müller counter which should be avoided during experiment. Therefore all experiments will start with a minimum angle of  $\theta = 3^\circ$ . *Note!* The motor drive is powered by a 12 V voltage source.

According to the angular position of the motor, a voltage in the range  $\pm 3V$  is picked up at a potentiometer, which is connected to the motor axis by means of a slip clutch, which is located at the input C of the interface.

The pulse rate  $R$  of the Geiger-Müller-counter (proportional of the radiation intensity) is registered via the input F of this interface, so that an automatic recording of the counting rate  $R(\theta)$  or  $R(\lambda)$  (at known network plane distance using the Bragg equation) is possible.



The use of a computer also makes it possible to immediately graphically display and assess the rate  $R(\theta)$  during the measurement on the monitor.

In addition, it should be noted that the emission current of the X-ray tube remains constant during the measurement (approx. 1 mA). This is controlled with a DC meter. An AC volt meter is used to determine the gradually adjustable (1,...,8) anode voltage  $U_A$ . This is determined by the measured effective value of the AC voltage  $U$  over the relationship

$$U_A = \sqrt{2} \times 10^3 U$$

To shield the environment from the X-ray radiation of the tube, the X-ray tube itself and the experimental chamber are enclosed by steel plates and lead glass windows. The lateral window can only be opened when the anode voltage is switched off, e.g. for mounting the crystal. The operation of the X-ray tube (switched on anode voltage) is indicated by a red warning lamp on the top of the device.

### 3. Experimentation and evaluation

#### 3.1 Start of experiment, angle calibration and angle correction

First, the X-ray machine (initially without high voltage), the rate meter, and finally the power supply of the actuator must be adjusted.

In general, a calibration of the angle adjustment of the motor is necessary before the start of the experiment. For this purpose, the menu item *Calibration/Ranges* (*Kalibrieren/Bereiche*) is selected, then *angle calibration* (*Winkelkalibrierung*), then *start/stop*, after which the voltage  $U$  of the motor potentiometer shown on the screen is driven to the value of approx. -2.95 V, which should correspond to the angular position  $2\theta = \theta = 0$ . A slight adjustment of the hands is necessary by the keys +/-/S (larger / smaller angle / stop). The zero position is confirmed by *F2*, i.e. the set voltage value is assumed to be  $2\theta = \theta = 0$ .

The angle  $2\theta = 60^\circ$  is then approached with the +/-/S keys. The voltage now displayed in the screen corresponds to  $\theta = 30^\circ$ , which is confirmed again by *F2*. After that, the calibration is finished and can be exited with *ESC*.

In the evaluation of the measured angles, it must be taken into account that the spectra have an offset of an angle  $\Delta$ . This angular error  $\Delta$  depends strongly on the used crystal surface and its position in the crystal holder and is provided for the 1st task, since no filter (monochromator) can be used here. The angular error  $\Delta$  for the 2nd task can be determined using the Bragg equation. If  $\theta_1^m$  and  $\theta_2^m$  are the measured angles for the maximum intensity in 1st and 2nd order, the angle correction is  $\Delta = \theta_1 - \theta_1^m = \theta_2 - \theta_2^m$ . With the boundary condition, that the corrected angles  $\theta_1$  and  $\theta_2$  result in the same mesh plane spacing for NaCl ( $d_{NaCl} = 282.5 \text{ pm}$ ), one ends up with the relation to calculate  $\Delta$ :

$$\tan \Delta = \frac{\sin \theta_2^m - 2 \sin \theta_1^m}{2 \cos \theta_1^m - \cos \theta_2^m}$$



### 3.1.1. 1st Task:

The measurement is carried out with the LiF crystal **without** filter for 5 different anode voltages (levels 4 – 8 on the scale). The respective voltages  $U_{\text{eff}}$  have to be noted and the high voltage  $U_A$  has to be calculated (see equation above)

#### Before the 1st measurement:

parameters: Angle range:  $\theta$ : 3-10°, step: 0.1°  
Torzeit: t: 1s  
 $d_{\text{LiF}} = 201.4 \text{ pm}$

**Measurement:** start: F1

#### After each measurement series:

Print screen: Determine minimum angle  $\theta_{\text{min}}$   
Substract  $\Delta = 0.7^\circ$

#### After all series of measurements of the 1st task:

Derive  $\lambda_{\text{min}}$  with Bragg's law ( $\lambda_{\text{min}} = 2d_{\text{LiF}} \sin(\theta_{\text{min}})$ ).

Calculate  $f_{\text{max}} = c / \lambda_{\text{min}}$

Plot the linear equation of  $U \cdot e$  versus  $h \cdot f_{\text{max}}$  following from the energy conservation

$$U \cdot e = h \cdot f_{\text{max}}$$

Determine the Planck constant  $h$  from the slope of the graph using linear regression and compare to literature. Please note that your fit curve must go through (0;0) point (function  $y=kx$ ).

### 3.1.2. 2nd Task:

The measurement is to be carried out with NaCl crystal and **with** Zr filter, voltage level 8 to determine the lattice distance of NaCl

#### Before measuring:

parameters: Angle range  $\theta$ : 3-25°,  
Torzeit: t: 1s

**Measurement:** start: F1

### After the measurement:

Print screen:

Determine the peak angles for  $n=1,2,3$

Calculate  $\Delta$

from the first two maxima with the equation given above

Derive  $d_{\text{NaCl}}$  for all 3 Maxima

Get an average and compare it with the literature value

### 4. Questions for Self-checking

- 1) How does an *X-ray tube* work?
- 2) Which different *X-ray spectra* are distinguished (drawing)?
- 3) Why is there a short-wave limit of the *Bremsstrahlung*?
- 4) What is the origin of the *X-ray characteristic spectrum*?
- 5) How do we prove that X-ray are electromagnetic waves?
- 6) What is *Bragg's Law*?
- 7) How does a *counter tube* work?

## Appendix: Analysis of Errors

### 1. Systematic and Statistical Errors

Every result of a measured physical quantity inevitably contains an error. To evaluate the experimental result obtained, it is, therefore, necessary to give an estimate of the numerical error(s) inherent to the experimental quantity.

There are different kinds of errors: systematic and random, i.e., statistical. Systematic errors are caused by the measurement system and can be recognized from the fact that the measured numerical value is strictly too large or too small as compared to those obtained when using other methods of measurement or theory. To minimize systematic errors, one has to change the experimental setup, i.e., the apparatus or the measuring procedure. Alternatively, the numerical result has to be corrected properly to account for the systematic errors involved in the measurement.

A statistical error arises due to random positive and negative deviations of the actually measured value from the mean or precise experimental value. If, e.g., the measured length of a distance is not exactly that of a certain number of scale divisions, one has to estimate a more accurate value by interpolation, thus a statistical error may result. On the other hand, the displayed (analog or digital) value of, e.g., a measured voltage can vary with time, i.e., fluctuating around an unknown (average) value. Thus, by evaluation of a time averaged value, or by the choice of the measurement moment, a statistical error may arise as well. Statistical errors are characterized by a probability distribution, which determines the probability of a measured deviation from the precise (true), i.e., most probable value (expectation value). The more a measurement is repeated, the more precisely are the probability distribution and the most probable value determined and the less becomes the statistical (measuring) uncertainty (see below).

### 2. Average Value, Standard Deviation, Statistical Uncertainty

The best evaluation for the most probable value of a measured quantity  $x$  out of  $n$  different single measurements  $x_i$  is obtained by taking the *arithmetic average value*  $\bar{x}$

$$\bar{x} = \frac{1}{n} \sum_{i=1}^n x_i . \quad (1)$$

Very often a shortened notation is used in the literature containing statistical equations with expressions of sums, where the lower and upper summation limit and the summation index is suppressed:

$$\bar{x} = \frac{1}{n} \sum x . \quad (2)$$

This shortened notation will be used in the following text.

Once the average value is known, the moduli of differences  $|x_i - \bar{x}|$ , denoting the deviations of single measuring results from the average value, give some estimate for the precision of the measurements. Because of numerical reasons, the moduli of the differences are replaced by the squares  $(x_i - \bar{x})^2$  and the *standard deviation*  $s$  is defined by

$$s = \sqrt{\frac{\sum (\bar{x} - x)^2}{n - 1}} , \quad n > 1 . \quad (3)$$

The standard deviation gives the statistical average error of a *single* measurement. By introducing the (positive) square root, the quantity  $s$  has the same units as the measured quantity and is therefore comparable with it. The division by  $n - 1$  instead by  $n$  takes into account, that for only a single measurement ( $n = 1$ ) no statistical statement can be given, i.e.,  $s$  is not defined.

The equation defining the standard deviation is usually not that given by eq. (3). All pocket calculators use instead the fully equivalent equation

$$s = \sqrt{\frac{\sum x^2 - \frac{1}{n} (\sum x)^2}{n - 1}} , \quad n > 1 , \quad (4)$$

because, when using eq. (4), not the single measured values  $x$ , but only the sum  $\sum x$  and the sum of the square  $\sum x^2$  has to be stored.

Besides the calculation of the average value and the standard deviation, it is often interesting to consider the value of the statistical uncertainty of the average value as well. This is because  $\bar{x}$  is just a guess of the result  $x$  according eq. (2), which for a small number  $n$  of single measurements, can be very unprecise. The

statistical measuring uncertainty  $u$  is a measure of the (statistical average) error of the average value  $\bar{x}$ :

$$u = \frac{s}{\sqrt{n}} = \sqrt{\frac{\sum x^2 - \frac{1}{n}(\sum x)^2}{n(n-1)}} \quad , \quad n > 1 \quad . \quad (5)$$

While the standard deviation  $s$  as a measure of the statistical spread of single measured values  $x_i$  approaches a finite value  $> 0$  with increasing  $n$ , the statistical measuring uncertainty  $u$  of the average value  $\bar{x}$  decreases with increasing  $n$  and approaches zero at large  $n$ .

Very often the measured values  $x_i$  are so called "normally" distributed, i.e., their relative probabilities are given by the *Gaussian distribution function*  $\varphi(x)$ :

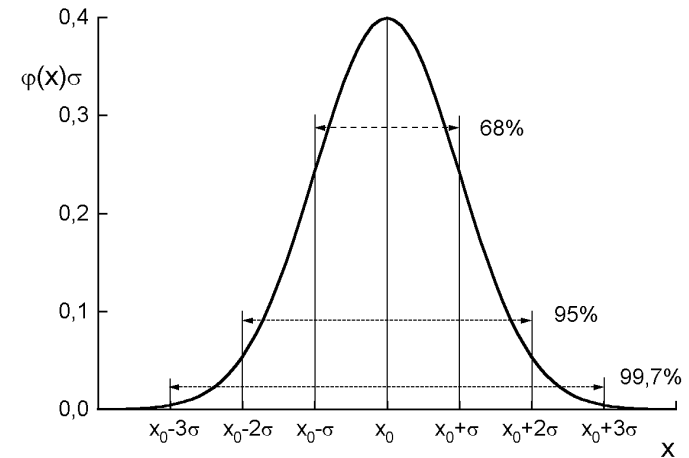
$$\varphi(x) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(-\frac{(x-x_0)^2}{2\sigma^2}\right) \quad . \quad (6)$$

The integral

$$P(x_1, x_2) = \int_{x_1}^{x_2} \varphi(x) dx \quad , \quad (7)$$

gives the probability that the values  $x_i$  (for a large number of measurements  $n \rightarrow \infty$ ) lie within the interval  $(x_1, x_2)$ . As shown by Fig. 1, the function  $\varphi(x)$  is symmetric around the most probable value  $x_0$  (the expectation value) and has the shape of a bell with a full width at half maximum of somewhat more than  $2\sigma$ .

For very large  $n$ , the average value  $\bar{x}$  determined from the measuring series approaches the value  $x_0$  of the function  $\varphi(x)$ , and the standard deviation  $s$  approaches the value  $\sigma$ . The probability that the result  $x_i$  of a single measurement lies within the interval  $\bar{x} \pm s$ , i.e.,  $x_0 \pm \sigma$ , amounts according to eq. (7) to about 68 %, for the interval  $x_0 \pm 2\sigma$  to about 95 % and for  $x_0 \pm 3\sigma$  already 99.7 %. Similar relations are valid for the statistical measuring uncertainty  $u$  of the average value: the probability of the true value  $x_0$  lying within the so called (unit) *range of*



**Fig. 1:** Gaussian distribution function  $\varphi(x)$  with most probable value  $x_0$  and intervals  $x_0 \pm \sigma$ ,  $x_0 \pm 2\sigma$ , and  $x_0 \pm 3\sigma$  for relative frequencies 68%, 95%, and 99,7% of measuring value  $x_i$  confidence  $\bar{x} \pm u$  is about 68 %, for the twofold and threefold range of confidence  $\bar{x} \pm 2u$  and  $\bar{x} \pm 3u$  about 95 % and 99.7 %, respectively.

One has to take into consideration, however, that the evaluation of the statistical measuring uncertainty or the range of statistical confidence for the value  $\bar{x}$  is physically reasonable only in combination with the evaluation of possible systematic errors. The total error of a result obtained from a measurement is always the sum of moduli for systematic and statistical errors. It is, therefore, not useful to repeat a measurement very often just for minimizing the statistical error if the evaluated systematic error exceeds the former by orders of magnitude.

**Example 1:** In the experiment B10 (experiment with x-rays) the lattice constant  $d$  of NaCl is to be determined from the Bragg reflection using Bragg's law

$$n\lambda = 2d\sin\theta_n \quad ,$$

with the wavelength  $\lambda = 154$  pm and the measured Bragg angles  $\theta_n$  ( $n = 1, 2, 3$ ).

Typical values are given in the table below.

n	$\theta_n$ (°)	$d_n$ (pm)
1	16.0	279.4
2	33.2	281.3
3	55.15	281.5

Using these values the following are found:

the average value:  $d = 280.73$  pm,

the standard deviation:  $s = 1.16$  pm,

the statistical uncertainty  $u$  of the average value  $d$ ,  $u = 0.67$  pm,

Finally,

$$d = (280.73 \pm 0.67) \text{ pm} = 280.73 \text{ pm} \pm 0.2 \%$$

if no error (statistical or systematic) in the measured angles  $\theta_n$  is considered. (Otherwise see example 2 below!)

**Notice:** Any estimated error should not contain more than 2 or 3 decimal positions. The final result is to be rounded correspondingly. Very often it does not make any sense and only simulates precision to present the many decimal places displayed by a pocket calculator.

**Additional notice:** Comparison of the result for  $d$  given above with the value  $d_{\text{NaCl}} = 282.0$  pm, known from the literature, and with those obtained using other experimental setups in the laboratory, reveals a systematic deviation of  $\theta_n$  (of roughly 0.5% - depending on the system used - because of inaccurate adjustments). In this case, the value of the statistical uncertainty presented is not significant at all to estimate the (actual true) error of  $d$ . If  $u$  is replaced by the standard deviation  $s$ , the result

$$d = (280.73 \pm 1.16) \text{ pm} = 280.73 \text{ pm} \pm 0.4 \%$$

can account to a better extent the actual error but this presentation does not solve the problem principally, i.e., how the systematic error present in this case is properly taken into account. One possibility to solve the problem is to take into consideration that an inherent (constant) systematic error  $\Delta\theta^s$  (because of

misalignment of both the crystal and the detector) has to be taken into account when using Bragg's law for the evaluation of  $\theta$  from the experimental data, i.e.,  $\theta = \theta^m + \Delta\theta^s$ , where  $\theta^m$  is the measured value. Since in the experiment considered the task is not to validate Bragg's law but to determine the lattice constant  $d$ , we state that Bragg's law is valid in any order  $n$ , i.e., that the value of  $d$  is independent of  $n$ . Combining Bragg's law, e.g., for  $n = 1$  and 2,  $\Delta\theta^s$  can be calculated with the values in the table of example 1 from

$$\tan \Delta\theta^s = \frac{\sin \theta_2^m - 2 \sin \theta_1^m}{2 \cos \theta_1^m - \cos \theta_2^m}, \quad \Delta\theta^s = -0.2^\circ.$$

Using this systematic correction  $\Delta\theta^s$  of the angle  $\theta^m$ , the values  $d_1 = 282.8$  pm;  $d_2 = 282.8$  pm (i.e.,  $d_1 = d_2$ ) and  $d_3 = 282.2$  pm are obtained. Thus, the average of the corrected result for  $d$  is

$$d = 282.6 \text{ pm},$$

which is closer to the value  $d_{\text{NaCl}} = 282.0$  pm known from the literature than the value  $d = 280.73$  pm presented above, without taking into consideration the correction of the systematic error.

### 3. Propagation of Errors

Generally, the determination of a physical quantity  $y$  requires the measurement of several single (different) parameters  $x_1, x_2, \dots$ . In this context, the question arises how far the single errors  $\Delta x_i$  resulting from the measurement of the individual parameters determine the uncertainty  $\Delta y$  of the quantity  $y$ . If the errors  $\Delta x_i$  are small as compared to  $x_i$ , the function  $y$  expanded into a power series of the errors  $\Delta x_i$  around the values  $x_i$  is approximately given by the terms linear in  $\Delta x_i$ . The error  $\Delta y$  resulting, e.g., from a single error  $\Delta x_i$  is given by

$$\Delta y \Big|_{x_{j \neq i} = \text{const}} = \frac{\partial y}{\partial x_i} \Delta x_i. \quad (8)$$

To estimate the *largest possible absolute error*  $(\Delta y)_{\text{max}}$  by taking into account all possible single errors  $\Delta x_i$ , the following relation is defined:

$$(\Delta y)_{\max} = \left| \frac{\partial y}{\partial x_1} \Delta x_1 \right| + \left| \frac{\partial y}{\partial x_2} \Delta x_2 \right| + \dots \quad (9)$$

**Example 2:** In the experiment B10 (experiment with x-rays, see also example 1) the lattice constant  $d$  of NaCl is to be determined from the Bragg reflection using the relationship

$$n\lambda = 2d_n \sin\theta_n, \quad d = \frac{1}{3} \sum d_n = \frac{\lambda}{6} \left( \frac{1}{\sin\theta_1} + \frac{2}{\sin\theta_2} + \frac{3}{\sin\theta_3} \right),$$

with the wavelength  $\lambda = 154$  pm and the measured Bragg angles  $\theta_n$  ( $n = 1, 2, 3$ ). Assuming a statistical error  $\Delta\theta = \pm 0.25^\circ = 0.0044$  of every measured angle  $\theta_n$ , the maximum statistical error  $\Delta d_{\max}$  is according to eq. (9)

$$(\Delta d)_{\max} = \left| \frac{\partial d}{\partial \theta_1} \Delta \theta_1 \right| + \left| \frac{\partial d}{\partial \theta_2} \Delta \theta_2 \right| + \left| \frac{\partial d}{\partial \theta_3} \Delta \theta_3 \right|$$

$$= \frac{\lambda \Delta \theta}{6} \left( \frac{\cos\theta_1}{\sin^2\theta_1} + \frac{2\cos\theta_2}{\sin^2\theta_2} + \frac{3\cos\theta_3}{\sin^2\theta_3} \right).$$

Taking the numerical  $\theta$ -values presented in example 1 we obtain

$$(\Delta d)_{\max} = 0.112 (12.65 + 5.58 + 2.55) \text{ pm} = 2.33 \text{ pm}; \quad (\Delta d)_{\max} / d = 0.83 \%$$

It is noted that the error contribution from the first Bragg angle  $\theta_1$  is the largest one, because the error  $\Delta\theta_1/\theta_1$  is the largest one, consistent with the observation in the table of example 1, where the deviation of  $(d_1 - d_{\text{NaCl}})$  is the largest one too.

**Example 3:** In the experiment B8 (determination of the specific charge  $e/m$  of the electron) the ratio  $e/m$  is determined from measurements of the acceleration voltage  $U$  and of the current  $I$  through the coils with radius  $R$  for an electron beam with circular radius  $r$ .

$$\frac{e}{m} = 2 \left( \frac{R}{0.715 \mu_0 n} \right)^2 \frac{U}{(rI)^2}$$

Since  $e/m$  is a product of powers of the variables  $R$ ,  $U$ ,  $r$ , and  $I$ , the expression of the *largest possible relative error* of  $e/m$  is rather simple. Taking into account the errors  $\Delta R$ ,  $\Delta U$ ,  $\Delta r$ , and  $\Delta I$ , the largest possible relative error of  $e/m$  is given by

$$\left[ \frac{\Delta(e/m)}{(e/m)} \right]_{\max} = \frac{1}{e/m} \left( \left| \frac{\partial(e/m)}{\partial R} \Delta R \right| + \left| \frac{\partial(e/m)}{\partial U} \Delta U \right| + \left| \frac{\partial(e/m)}{\partial r} \Delta r \right| + \left| \frac{\partial(e/m)}{\partial I} \Delta I \right| \right) \quad (10)$$

$$= \left| \frac{2\Delta R}{R} \right| + \left| \frac{\Delta U}{U} \right| + \left| \frac{2\Delta r}{r} \right| + \left| \frac{2\Delta I}{I} \right|.$$

Typical estimates of errors and values of measured quantities are

$\Delta R = \pm 2$ mm	$R = 20$ cm	$\Delta R/R = 0.01$
$\Delta U = \pm 6$ V	$U = 200$ V	$\Delta U/U = 0.03$
$\Delta r = \pm 0.5$ mm	$r = 3$ cm	$\Delta r/r = 0.017$
$\Delta I = \pm 90$ mA	$I = 2$ A	$\Delta I/I = 0.045$

resulting in

$$\left[ \frac{\Delta(e/m)}{(e/m)} \right]_{\max} = 0.02 + 0.03 + 0.034 + 0.09 = 0.174.$$

#### 4. Graphs and Linear Regression

In experimental physics, the aim is often to validate a theoretically predicted functional dependence of two quantities  $x$  and  $y$  by a measurement. In simple cases, the quantities  $x$  and  $y$  are linearly connected, i.e.,

$$y = a + bx. \quad (11)$$

Since every measurement is inherent with an error, the data  $(x_i, y_i)$  in a graph  $y_i$  vs.  $x_i$  will scatter more or less around a straight line drawn through the data points. It is the task to find an optimum straight line balancing the deviations due to errors (i.e. that line which would represent the data if the errors were absent). This is the *regression line*. It can be simply done by a *visual estimate*, i.e., by drawing a suitable straight line with a ruler. A more objective way to construct the regression

line is the *least mean square fit*; the calculation of the parameters a and b of eq. (11) (a: intercept on y-axis, b: slope of line) with the condition

$$\sum (a + bx - y)^2,$$

being an absolute minimum. If this is the case, a and b fulfil the condition

$$\frac{\partial}{\partial a} \sum (a + bx - y)^2 = 0, \quad \frac{\partial}{\partial b} \sum (a + bx - y)^2 = 0. \quad (12)$$

Differentiation yields the equation system

$$an + b \sum x = \sum y, \quad a \sum x + b \sum x^2 = \sum xy, \quad (13)$$

with the solution

$$a = \frac{\sum y \sum x^2 - \sum x \sum xy}{n \sum x^2 - (\sum x)^2}, \quad b = \frac{n \sum xy - \sum x \sum y}{n \sum x^2 - (\sum x)^2}. \quad (14)$$

**Note:** For a regression line through the origin of the coordinate system, i.e. for the line  $y = b x$  ( $a = 0$ ) the corresponding solution is

$$b = \frac{\sum xy}{\sum x^2} \quad (15)$$

The linear regression algorithm using the method of the least mean squares is almost always applied to find an optimum fit to the data. It is (besides the (arithmetic average) the most frequently used algorithm and, therefore, is included in many pocket calculators.

When using the (critical) regression coefficient  $R^2$ , one has to be cautious. With  $R^2$  the quality of fit functions with several independent measuring series can be compared. The coefficient of a single measuring series, however, has no physical meaning.

In cases where the quantity  $Y(X)$  does not linearly depend on  $X$ , it may be possible to find a proper transformation  $Y(X) \rightarrow y(x)$  so that a linear relationship

$y = a + bx$  holds.

Some examples are given in the following table:

function Y(X)	transformation		y = a + b x	
	y(X,Y)	x(X,Y)	a	b
A X <sup>B</sup>	ln (Y)	ln (X)	ln (A)	B
A exp (BX)	ln (Y)	X	ln (A)	B
A X + B X <sup>3</sup>	Y / X	X <sup>2</sup>	A	B